

# GATESHEAD SCHOOLS FORUM AGENDA

Thursday, 7 December 2017 at 2.00 pm in the Cowen Room, Dryden Centre

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From the Chief Executive, Sheena Ramsey

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Item	Business
1	<b>Apologies</b>
2	<b>Minutes</b> (Pages 3 - 8)  The Forum is asked to approve as a correct record the minutes of the last meeting held on 2 November 2017
3	<b>De-delegation - Ethnic Minority and Traveller Achievement Service</b> (Pages 9 - 14)  Jenny Edwards, Learning and Schools
4	<b>De-delegation - Fair Access Panel Education Psychologist and Primary In School Support Service</b> (Pages 15 - 22)  Jeanne Pratt, Learning and Schools
5	<b>Early Years Payment Options Proposals and Consultation</b> (Pages 23 - 24)  Julie Robertshaw, Early Help Service
6	<b>School Funding Update and Proposed Mainstream Schools Formula</b> (Pages 25 - 36)  Carole Smith, Corporate Resources
7	<b>Schools Funding Consultation Document</b> (Pages 37 - 64)  Carole Smith, Corporate Resources
8	<b>Disapplication of Schools and Early Years Finance (England) Regulations</b> (Pages 65 - 68)  Carole Smith, Corporate Resources

- 9      **Discretionary School Meals - Universal Credit** (Pages 69 - 80)  
Helen Paine and Carole Smith, Corporate Resources
- 10     **Consultation - Eligibility for Free School Meals and the Early Years Pupil Premium under Universal Credit** (Pages 81 - 82)  
Carole Smith, Corporate Resources
- 11     **De-delegation - Trade Union Facility Time and Maternity Credits** (Pages 83 - 84)  
Carole Smith, Corporate Resources
- 12     **Dedicated Schools Grant Revenue Monitoring** (Pages 85 - 86)  
Alan Foster, Corporate Resources
- 13     **Date and Time of Next Meeting**  
Tuesday 19 December at 2.00pm

## GATESHEAD METROPOLITAN BOROUGH COUNCIL

### GATESHEAD SCHOOLS FORUM MEETING

Thursday, 2 November 2017

<b>PRESENT:</b>	Ken Childs (Chair)	Special School Governors
	Councillor Chris McHugh	Elected Member Representative
	Sarah Diggle	Primary Governors
	Steve Haigh	Secondary Academy Headteachers
	Peter Lague	Trade Union Representative
	Mustafaa Malik	Primary Headteachers
	Elaine Pickering	Secondary Governors
	Andrew Ramanandi	Primary Headteachers
	Michelle Richards	Special School Headteachers
	Chris Richardson	Secondary Headteachers
	Matthew Younger	Primary Headteachers
<b>IN ATTENDANCE:</b>	Alan Foster	Corporate Resources
	Carole Smith	Corporate Resources
	Rosalyn Patterson	Corporate Services and Governance

#### 1 **APOLOGIES**

Apologies for absence were received from Allan Symons, Julie Goodfellow, Christine Ingle and Clive Wisby.

#### 2 **MINUTES**

The minutes of the meeting held on 28 September 2017 were agreed as a correct record.

#### 3 **USE OF DSG RESERVES**

The Forum received a report on the use of DSG Reserves. It was reported that Ravensworth Terrace Primary School moved to its new site from September 2017 and Cabinet has approved the use of the old site on a temporary basis for an extended Pupil Referral Unit (PRU) from January 2018.

It was noted that while the site is unoccupied work needs to be undertaken to ensure it is safe. It is not possible to charge Ravensworth Terrace Primary School for this work as they are not occupying the site, the PRU cannot be charged as they have not yet taken over the site. It was also noted that the site cannot be declared as surplus as it has an intended education use in the near future. It was confirmed therefore that £5,000 of DSG reserves will be used to fund security charges.

The point was made that at the last meeting the Forum was advised that DSG

reserves were being used to address safety issues at Eslington School. Concerns were raised that the Forum did not want the DSG used as a pot to dip into. It was acknowledged that this is still an education property and as such is not a surplus property. To be declared surplus a property must be empty for a certain length of time at which point the cost would be picked up centrally.

It was questioned whether there was anything stopping central funds picking up this cost. It was reiterated that this is an education provision and therefore the cost should be picked up using education money, and that it would need to be declared surplus before it was paid centrally.

It was also suggested that as the PRU is to be academised the Trust should pick up the cost. It was confirmed however that the Trust did not have control of the building yet.

RESOLVED - That the Schools Forum noted the funding provided for interim security at the old Ravensworth Terrace site.

#### **4 SCHOOL FUNDING UPDATE**

The Forum received an update report on the proposals made by the Mainstream Schools Funding Sub Group, which met on 19<sup>th</sup> October.

The main developments were highlighted; children with SEN in ARMS will now receive mainstream funding plus £6,000 place allocation, empty places will continue to be funded at £10,000. It was questioned how it will work where pupils are on site but enrolled at a special school. It was confirmed that there would be a service level agreement or memorandum of understanding between the special school and the mainstream school because funding would go to the special school as that is where the pupil is on roll. It was noted that the host school would not lose out and there would be a commitment as to how these pupils would be integrated into the school.

The DfE has provided an APT that allows positive MFG up to 0.5% so modelling can commence. New funding arrangements for the high needs block (HNB) of the Dedicated Schools Grant (DSG) has been set out, for every child in special school the authority will receive £4,000 in HNB, further details from the DfE are being awaited.

The factor comparisons between Gateshead's current funding formula and the published national funding formula (NFF) were reviewed, this includes changes to AWPU's, FSM, ever6 are lower, flattened IDACI funding. There will be no LAC factor, EAL and low prior attainment are substantially higher.

The sub group agreed the following principles;

- An affordable equitable and transparent mainstream school funding formula
- A formula that will focus only on mainstream school age range – not taking other age ranges into account (e.g. post 16 or 2,3 and 4 year olds)
- A formula that where possible prepares schools for the National Funding Formula (NFF) and moves Gateshead's formula in the direction of travel

towards the NFF

The funding aims are to;

- Phase the changes over the next two funding periods
- 1:129 primary secondary ratios
- 3% funding gains cap
- Positive MFG if possible
- Minimise the value of MFG

It was noted that currently the DSG is top sliced for licences and it is expected this will go up, more should be known by mid December.

The sub group will next meet the week commencing 13 November, the proposals will go to Schools Forum on 7 December, consultation with schools will follow, the outcome of which will be reported to a special meeting of the Schools Forum on 19 December 2017. The final funding formula will be reported to Schools Forum on 11 January then Portfolio approval will be sought on 15 January 2018 with submission to the DfE on 19 January 2018.

Thanks were given to the work of the sub-group.

RESOLVED - That the Schools Forum approved the principles and funding Funding aims proposed.

## **5 DISAPPLICATION OF SCHOOLS AND EARLY YEARS FINANCE (ENGLAND) REGULATIONS**

The Forum was requested to approve the application to the Secretary of State for Education to dis-apply the Schools and Early Years Finance Regulations to enable a positive minimum funding guarantee (MFG) to be set.

The DfE has announced the MFG can be set up to a value of 0.5% for mainstream schools, however regulations have MFG set at -0.5% - 0%. Therefore if an application is not made to dis-apply a positive MFG cannot be set. This will allow the possibility of having a positive MFG if needed.

RESOLVED - That Schools Forum approved the application to the Secretary of State to dis-apply the School and Early Years Finance (England) Regulations to widen the MFG range from -1.5% to 0% to -1.5% to 0.5%.

## **6 EARLY YEARS INCLUSION FUND**

The Forum received the outcome of the consultation on the Early Years Inclusion Fund for 2017/18 and the rollout of the processes for settings to apply for funding for emerging, low level SEN.

It was noted that 27 responses were received and the responses were positive.

RESOLVED - That the Schools Forum noted the content of the report and accepted the proposals for the Early Years Inclusion Fund.

## **7 INTRODUCTION OF UNIVERSAL CREDIT AND FREE SCHOOL MEALS**

It was reported that, following the discussion at July's Schools Forum, a Councillors Advisory Group was held to look at Universal Credit. Councillors asked that Caroline O'Neill write to all Headteachers to ask for free school meals (FSM) to be provided to those children whose family are going through the application process for Universal Credit.

It was noted that currently discussions are ongoing as to whether being on Universal Credit is enough to be eligible for FSM.

The point was made that the tension for schools is the audit around finances and the pressure to save money. Schools are potentially paying for meals when a child may not necessarily be entitled to a free meal and it was recognised that this is a moral dilemma that schools are facing. It was suggested that some guidance be provided so that a mechanism is in place for all schools to work in the same manner.

It was also suggested that DSG reserves could be used reimburse schools through contingency funding. It was confirmed that work is ongoing on a proposal, this is at an internal stage currently.

Forum was advised that the Council want to understand the impact of universal credit on schools and asked for any feedback they can provide.

It was noted that some schools unilaterally increased the cost of school meals therefore the cost of a meal to parents depends which school the child is attending. The point was made that this is a further disadvantage to those deprived children because less money is going back into the schools that have not increased their prices.

The point was made that it would be beneficial if the Council contacted schools asking them to do something or providing a plan of action rather than sending a plea out. This would provide some potential for reimbursement or recognition of how to deal with the situation.

It was agreed that an approach would be developed internally and provided to the Forum, however at this point timescales are not clear.

RESOLVED - That the information be noted.

## **8 DATE AND TIME OF NEXT MEETING**

The date and time of the next meeting is Thursday 7 December 2017 at 2.00pm.



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**TITLE OF REPORT: De-Delegation – Ethnic Minority and Traveller Achievement Service**

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**Purpose of the Report**

To provide Schools Forum with an update on the work delivered by EMTAS since April 2017 so they can consider de-delegation for the financial year 2018-19.

**Background**

The Ethnic Minority and Traveller Achievement Service (EMTAS) offer a range of services to Gateshead schools and settings to develop their capacity to support the educational achievement of all ethnic minority and Traveller pupils.

In the last year Gateshead has again seen a steady rise in the number of Ethnic Minority (EM) and Gypsy Roma and Traveller (GRT) children attending our schools; up from 9.06% (January Census 2016) to 10.1% (January Census 2017).

The Census data shows that 6.54% of pupils are identified as having a first language that is other than English, with over 80 different languages spoken as first language. The highest recorded numbers are for Polish, Arabic, Kurdish and Chinese.

Analysis suggests there continue to be difficulties with data collection and coding processes, which impact on the accuracy of the statistics. For example, one setting had 101 pupils coded as 'other' for first language. On the positive side, schools indicated that the ethnicity question had been completed for all pupils (down from 280 null returns in 2016).

**April – November 2017**

The role of EMTAS has been reviewed and developed following meetings with individual Primary Head Teachers, and attendance by the manager at Cluster meetings and at Talking Heads.

Primary maintained mainstream schools have access to the Core Service:

- Assessment of language skills for pupils with EAL
- Advice, guidance and support for pupils with EAL or from GRT communities
- Support for school EAL lead
- Guidance for whole school EAL audit and development
- Access to whole school training
- Access to advice and training on anti-racism, faith, cultural and community cohesion and integration

Academies and special schools can buy into these services, and Primary schools can access additional support through an SLA.

**Since April 2017 the service consists of:**

- Staff worker (FTE 0.5) who supports educational outcomes for pupils from Gypsy, Roma and Traveller communities
- Equality and Diversity staff member (FTE 0.2) who supports wider issues including community cohesion, monitoring and responding to hate crimes
- 3 EAL specialist teachers (FTE 2.0)
- 2 bilingual/EAL specialists (FTE 2.0)

There are currently 63 Gypsy, Roma & Traveller (GRT) pupils in Gateshead and pupils are supported in seven primary schools. There are regular site visits and a recent development is a fortnightly drop-in session for Secondary age pupils who are home educated, held at Dryden Centre.

A member of the team attends the multi agency Hate Crime meetings and provides phone advice and guidance to schools around hate crime/racism and other issues. Multicultural, faith awareness and hate crime activities have been delivered in 13 schools and have included delivery to pupils in classes, assemblies and whole school staff training.

Links have been re-established with Regional colleagues and the team are now part of the Naldic Special Interest group (National Association for Language Development in the Curriculum) and a Regional Special Interest Group led by Newcastle University staff.

Mainstream maintained primary schools with more than 20% EAL pupils have a weekly visit from EMTAS specialist; alongside the EAL lead, and they agree on how best to support the school in meeting the needs of their pupils. There are currently 13 schools accessing this service.

**Mainstream maintained primary schools with less than 20% EAL pupils use the referral form;**

- 18 schools have made referrals for individual pupils
- 5 schools have accessed the whole school training offer, with two further sessions planned this term
- 19 schools have used the EAL audit tool to identify needs and request support /resources

Four Secondary schools and Academies have an SLA with EMTAS for weekly visits and all of the Core services have been accessed by these settings. Students again performed well in their Heritage exams in the summer.

The first meeting of EAL leads took place this term; planned termly training sessions will be delivered on development needs identified at this initial meeting. The first session in January 2018 will provide information and resources on 'welcoming new arrivals'. Student volunteers from Newcastle University will be providing translation of the information and resources in different languages.

Newcastle University volunteers are also offering a 'Languages into Schools' project into Gateshead schools, in partnership with EMTAS. The first of these sessions is being delivered at the end of November and the second the beginning of December.

Training has been delivered to trainee Teachers at Newcastle and Northumbria Universities with two further sessions booked for this term.

EMTAS manager now attends Fair Access Panel meetings and liaises with Education Support Service to ensure schools have access to EMTAS.

Separated funding has been secured to employ a Refugee Integration Officer for twelve months to work with Syrian refugees placed through the Governments Resettlement Programme. The remit is to improve educational outcomes by enabling refugees to participate fully in the education system and the role will involve working closely with Gateshead schools and settings, as well as Services such as Education Support Service and Gateshead Housing Company.

## **Proposal**

In order to enable EMTAS to continue to provide these services to the schools, children and young people in Gateshead it is proposed that schools de-delegate funding. Following feedback from primary Head Teachers the methodology of de-delegation has been reviewed and the proposed de-delegation model is more closely linked to English as an Additional Language (EAL) funding. EAL funding has increased from £260.00 per pupil for 3 years to £430.00 per pupil for 3 years.

It is therefore proposed to move from a flat amount of £13.42 for all maintained mainstream primary school pupils to a rate of £3.00 for all maintained mainstream primary school pupils with an additional amount of £245.00 for each primary EAL pupil.

Appendix 1 details the funding and level of de- delegation for 2017/18.

Appendix 2 details the proposed level of funding and de-delegation for 2018/19.

## **Recommendations**

It is recommended that Schools Forum notes the work undertaken by EMTAS to support the educational achievement of all ethnic minority and Traveller pupils in Gateshead schools, and approves the de-delegation of funding for EMTAS at the rates of £3.00 for every maintained mainstream primary pupil and £245.00 for each primary maintained mainstream EAL pupil.

## **For the following reasons:**

To note the work undertaken by EMTAS to support the educational achievement of all ethnic minority and Traveller pupils in Gateshead schools  
To approve funding for the service to enable them to support children and schools in Gateshead.

## Appendix 1

School Name	2017/18		
	£260.00	£13.42	
	EAL (P)	De-Delegation 2017/18	Comparision
	<b>£184,435</b>	<b>£191,489.98</b>	<b>-£7,055.44</b>
Carr Hill Community Primary School	£4,295.48	£4,133.36	£162.12
Kelvin Grove Primary School	£19,358.09	£3,918.64	£15,439.45
South Street Primary School	£8,700.00	£3,623.40	£5,076.60
Bede Community Primary School	£8,082.61	£2,214.30	£5,868.31
Oakfield Junior School	£0.00	£3,220.80	-£3,220.80
LARKSPUR COMMUNITY PRIMARY SCHOOL	£2,664.53	£2,093.52	£571.01
Oakfield Infant School	£1,170.00	£2,415.60	-£1,245.60
Ravensworth Tce Primary School	£2,388.75	£3,381.84	-£993.09
Portobello Primary School	£1,214.30	£2,804.78	-£1,590.48
Birtley East Primary School	£2,931.91	£2,845.04	£86.87
Dunston Hill Community Primary	£1,816.89	£5,220.38	-£3,403.49
Emmaville Primary School	£0.00	£3,985.74	-£3,985.74
High Spen Primary School	£2,927.41	£2,039.84	£887.57
Swalwell Primary School	£2,228.57	£2,214.30	£14.27
Winlaton West Lane Primary Sch	£292.82	£4,549.38	-£4,256.56
Greenside Primary School	£611.35	£2,335.08	-£1,723.73
Blaydon West Primary School	£1,248.00	£1,771.44	-£523.44
Front Street Community Primary	£2,136.76	£5,341.16	-£3,204.40
Highfield Primary School	£0.00	£1,449.36	-£1,449.36
Ryton Community Infant School	£355.95	£1,543.30	-£1,187.35
Ryton Community Junior School	£260.00	£2,549.80	-£2,289.80
Washingwell Community Primary School	£878.87	£2,147.20	-£1,268.33
Bill Quay Primary School	£611.59	£2,777.94	-£2,166.35
Falla Park Community Primary School	£3,301.17	£2,912.14	£389.03
Brandling Primary School	£4,942.57	£1,610.40	£3,332.17
Lingey House Primary School	£1,835.29	£5,368.00	-£3,532.71
THE DRIVE PRIMARY SCHOOL	£1,516.67	£2,442.44	-£925.77
White Mere Comm Primary School	£916.84	£1,798.28	-£881.44
Clover Hill Primary School	£0.00	£2,791.36	-£2,791.36
Crookhill Primary	£614.25	£2,536.38	-£1,922.13
Brighton Avenue Primary School	£13,626.31	£3,596.56	£10,029.75
Lobley Hill Primary School	£3,299.34	£5,139.86	-£1,840.52
Wardley Primary School	£1,570.13	£3,744.18	-£2,174.05
Glynwood Primary School	£2,168.23	£4,428.60	-£2,260.37
Barley Mow Primary School	£873.98	£2,496.12	-£1,622.14
Windy Nook Primary School	£605.97	£3,878.38	-£3,272.41
Colegate Primary	£866.67	£2,415.60	-£1,548.93
Roman Road Primary School	£0.00	£2,254.56	-£2,254.56
Fellside Primary School	£607.15	£2,804.78	-£2,197.63
Fell Dyke Primary School	£6,745.44	£3,784.44	£2,961.00
Caedmon Community Primary	£11,858.64	£2,777.94	£9,080.70
Kells Lane Primary School	£1,823.66	£5,569.30	-£3,745.64
Chopwell Primary	£1,731.52	£2,845.04	-£1,113.52
Park Head Primary School	£907.11	£4,213.88	-£3,306.77
St Aidan's CofE Primary School	£3,363.75	£2,777.94	£585.81
Harlow Green Primary School	£2,461.65	£5,193.54	-£2,731.89
Rowlands Gill Primary School	£587.56	£3,851.54	-£3,263.98
WHICKHAM PAROCHIAL PRIMARY SCH	£0.00	£2,845.04	-£2,845.04
Corpus Christi Catholic School	£8,882.08	£2,643.74	£6,238.34
St Joseph's R C Primary School	£8,230.34	£2,737.68	£5,492.66
St.Oswalds RC (Aided) Primary	£2,784.30	£2,522.96	£261.34
St Peter's R C Primary School	£1,515.47	£2,831.62	-£1,316.15
St Annes Catholic Primary School	£585.00	£1,690.92	-£1,105.92
St Joseph's Catholic Junior School	£1,300.00	£1,529.88	-£229.88
Birtley St.Joseph's Catholic Infant School	£3,529.83	£1,194.38	£2,335.45
St Agnes' R C Primary School	£0.00	£2,791.36	-£2,791.36
St Joseph's R C Sch Highfield	£305.00	£1,637.24	-£1,332.24
St Mary & St Thomas Aquinas	£302.62	£2,858.46	-£2,555.84
St Philip Neri R C Primary Sch	£6,054.29	£2,187.46	£3,866.83
ST JOSEPH'S CATHOLIC SCHOOL	£0.00	£2,858.46	-£2,858.46
St Mary's R.C. Primary School	£0.00	£2,804.78	-£2,804.78
St Alban's Catholic Primary School	£1,508.56	£2,912.14	-£1,403.58
Felling St.Augustine's R.C.P	£4,024.44	£4,106.52	-£82.08
St Wilfrid's R C Primary Sch.	£5,928.00	£1,529.88	£4,398.12

## Appendix 2

School Name	2018/19				Comparision
	£430.00	£3.00	£245.00		
	EAL (P)	Basic Entitlement	EAL Primary	Total De-delegation	
	<b>£306,094</b>	<b>£42,888.00</b>	<b>£165,839.82</b>	<b>£208,727.82</b>	<b>£97,366.20</b>
Carr Hill Community Primary School	£7,104.06	£924.00	£4,047.66	£4,971.66	£2,132.40
Kelvin Grove Primary School	£32,015.30	£876.00	£18,241.27	£19,117.27	£12,898.02
South Street Primary School	£14,388.46	£810.00	£8,198.08	£9,008.08	£5,380.38
Bede Community Primary School	£13,934.49	£516.00	£7,939.42	£8,455.42	£5,479.07
Oakfield Junior School	£0.00	£720.00	£0.00	£720.00	-£720.00
LARKSPUR COMMUNITY PRIMARY SCHOOL	£4,406.72	£468.00	£2,510.80	£2,978.80	£1,427.91
Oakfield Infant School	£1,935.00	£540.00	£1,102.50	£1,642.50	£292.50
Ravensworth Tce Primary School	£3,950.63	£756.00	£2,250.94	£3,006.94	£943.69
Portobello Primary School	£2,008.27	£627.00	£1,144.25	£1,771.25	£237.02
Birtley East Primary School	£4,848.94	£636.00	£2,762.77	£3,398.77	£1,450.17
Dunston Hill Community Primary	£3,004.85	£1,167.00	£1,712.07	£2,879.07	£125.78
Emmaville Primary School	£0.00	£891.00	£0.00	£891.00	-£891.00
High Spen Primary School	£4,968.89	£468.00	£2,831.11	£3,299.11	£1,669.78
Swalwell Primary School	£3,909.09	£525.00	£2,227.27	£2,752.27	£1,156.82
Winlaton West Lane Primary Sch	£484.29	£1,017.00	£275.93	£1,292.93	-£808.64
Greenside Primary School	£1,011.08	£522.00	£576.08	£1,098.08	-£87.00
Blaydon West Primary School	£2,064.00	£396.00	£1,176.00	£1,572.00	£492.00
Front Street Community Primary	£3,533.86	£1,194.00	£2,013.48	£3,207.48	£326.38
Highfield Primary School	£0.00	£324.00	£0.00	£324.00	-£324.00
Ryton Community Infant School	£588.69	£345.00	£335.42	£680.42	-£91.73
Ryton Community Junior School	£430.00	£570.00	£245.00	£815.00	-£385.00
Washingwell Community Primary School	£1,453.52	£480.00	£828.17	£1,308.17	£145.35
Bill Quay Primary School	£1,011.48	£621.00	£576.31	£1,197.31	-£185.83
Falla Park Community Primary School	£5,459.63	£651.00	£3,110.72	£3,761.72	£1,697.91
Brandling Primary School	£8,310.50	£366.00	£4,735.05	£5,101.05	£3,209.45
Lingey House Primary School	£3,035.29	£1,200.00	£1,729.41	£2,929.41	£105.88
THE DRIVE PRIMARY SCHOOL	£2,508.33	£546.00	£1,429.17	£1,975.17	£533.17
White Mere Comm Primary School	£1,516.32	£402.00	£863.95	£1,265.95	£250.37
Clover Hill Primary School	£0.00	£624.00	£0.00	£624.00	-£624.00
Crookhill Primary	£1,015.88	£567.00	£578.81	£1,145.81	-£129.94
Brighton Avenue Primary School	£22,535.82	£804.00	£12,840.18	£13,644.18	£8,891.64
Lobley Hill Primary School	£5,456.60	£1,149.00	£3,108.99	£4,257.99	£1,198.61
Wardley Primary School	£2,596.75	£837.00	£1,479.55	£2,316.55	£280.21
Glynwood Primary School	£3,585.92	£990.00	£2,043.14	£3,033.14	£552.78
Barley Mow Primary School	£1,445.42	£558.00	£823.55	£1,381.55	£63.87
Windy Nook Primary School	£1,002.18	£867.00	£571.01	£1,438.01	-£435.83
Colegate Primary	£1,433.33	£540.00	£816.67	£1,356.67	£76.67
Roman Road Primary School	£0.00	£504.00	£0.00	£504.00	-£504.00
Fellside Primary School	£1,004.13	£627.00	£572.12	£1,199.12	-£194.99
Fell Dyke Primary School	£11,155.92	£846.00	£6,356.28	£7,202.28	£3,953.64
Caedmon Community Primary	£19,612.37	£621.00	£11,174.49	£11,795.49	£7,816.88
Kells Lane Primary School	£3,016.06	£1,245.00	£1,718.45	£2,963.45	£52.61
Chopwell Primary	£2,863.66	£636.00	£1,631.62	£2,267.62	£596.04
Park Head Primary School	£1,500.22	£942.00	£854.78	£1,796.78	-£296.56
St Aidan's CoFE Primary School	£5,563.13	£621.00	£3,169.69	£3,790.69	£1,772.44
Harlow Green Primary School	£4,071.19	£1,161.00	£2,319.63	£3,480.63	£590.56
Rowlands Gill Primary School	£985.28	£873.00	£561.38	£1,434.38	-£449.10
WHICKHAM PAROCHIAL PRIMARY SCH	£0.00	£636.00	£0.00	£636.00	-£636.00
Corpus Christi Catholic School	£14,689.60	£591.00	£8,369.65	£8,960.65	£5,728.94
St Joseph's R C Primary School	£13,611.72	£612.00	£7,755.52	£8,367.52	£5,244.21
St.Oswalds RC (Aided) Primary	£4,604.81	£564.00	£2,623.67	£3,187.67	£1,417.14
St Peter's R C Primary School	£2,506.35	£633.00	£1,428.04	£2,061.04	£445.31
St Annes Catholic Primary School	£967.50	£378.00	£551.25	£929.25	£38.25
St Joseph's Catholic Junior School	£2,150.00	£342.00	£1,225.00	£1,567.00	£583.00
Birtley St.Joseph's Catholic Infant School	£5,837.80	£267.00	£3,326.19	£3,593.19	£2,244.61
St Agnes' R C Primary School	£0.00	£624.00	£0.00	£624.00	-£624.00
St Joseph's R C Sch Highfield	£504.42	£366.00	£287.40	£653.40	-£148.98
St Mary & St Thomas Aquinas	£500.49	£639.00	£285.16	£924.16	-£423.67
St Philip Neri R C Primary Sch	£10,012.86	£489.00	£5,705.00	£6,194.00	£3,818.86
ST JOSEPH'S CATHOLIC SCHOOL	£0.00	£639.00	£0.00	£639.00	-£639.00
St Mary's R.C. Primary School	£0.00	£627.00	£0.00	£627.00	-£627.00
St Alban's Catholic Primary School	£2,494.92	£651.00	£1,421.52	£2,072.52	£422.40
Felling St.Augustine's R.C.P	£6,655.80	£918.00	£3,792.26	£4,710.26	£1,945.54
St Wilfrid's R C Primary Sch.	£9,804.00	£342.00	£5,586.00	£5,928.00	£3,876.00

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**TITLE OF REPORT: De – Delegation Options**

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**Purpose of the Report**

Over the past several years Schools Forum has agreed to the de-delegation of primary funding to support the existing Primary Behaviour Support Team (formally In School Support).

Since 2014 School Forum also agreed to the de-delegation of funds to create a post for an educational psychologist to work to the primary Fair Access process.

The purpose of this report is to bring to Schools Forum an update on existing services (FAP EP and Primary Behaviour Support) that they currently fund through de-delegation so that they can consider further de-delegation for the 2018/19 financial year.

**Background**

1. Revenue funding arrangements for schools have changed following the school funding review which started in 2013/14. It is now not permissible for LA's to hold budgets centrally for the provision of some services to schools. This previously centrally held funding has been delegated to schools on a per pupil basis.
2. However there are some areas that schools have the option for de-delegation.

These are:-

- a) Contingencies, (including support for schools in financial difficulties, new/closing/amalgamating schools, closing school deficits)
  - b) Behaviour Support Services
  - c) Support for minority ethnic minority pupils or underachieving groups
  - b) Free School Meals (FSM) eligibility
  - c) Insurance
  - d) Library and museum services
  - e) Licences/subscriptions
  - f) Staff costs – supply cover (long term sickness, maternity, trade union and public duties)
3. For each of these areas, it would be for the Schools Forum members in the relevant phase (primary or secondary) to decide whether that service should be retained centrally.
  4. The decision would apply to all maintained schools in that phase and would mean that the funding for these services was removed from individual school budgets before they are issued to schools. There can be different decisions for each phase.

5. Academies, special schools and nursery schools can buy back into these services if they wish too.

### **Primary Behaviour Support Team**

6. The Primary Behaviour Support Team work with primary schools, mainly, but not exclusively on early intervention in the area of behaviour. The team consists of 4 special needs teaching assistants and two teachers. They work principally with primary aged children in their school setting offering direct intervention and support with children alongside consultancy for primary Headteachers and teaching staff. This work includes assessment of pupils' needs, strategies, whole class modelling, small group and individual support and training for staff where this is identified as a need.
7. The work of the team is non statutory and since April 2013, the special needs support assistants element of the team has been subject to a buy back arrangement.
8. The buy-back was calculated on the basis of a 100% uptake by the primary schools.
9. Since 2013 funding has also been provided from the High Needs Block for the two teacher posts (to maintain consultancy and guidance for all primaries).
10. Referrals to the team continue to rise; in the academic year 2016/17 there were 81 new referrals to the team, which is an increase of 20 children from the 61 children referred in 2015/16.
11. During the 2016/17 academic year 67% of primary schools received input from the team, which is an increase from 50% of schools the previous year. Comments from schools included:

*I feel that we have been extremely well supported by the Behaviour Support Service, particularly when things escalated for two of our children. We were given immediate support in terms of advice over the phone. Visits to school to see the children and meet with school staff and parents closely followed. The Teaching assistant who then came to support the children was also very skilled at managing some extremely volatile behaviour. It enabled our staff to see how to handle more extreme behaviours.*

*The children who have been supported by the Primary Behaviour Support Service have greatly benefited from having key staff come into school to provide directed work. These sessions have allowed pupils to engage within sessions and access learning when otherwise they would not.*

12. Advice and support has been provided in a number of areas including
  - a. Behaviour management, advice and assessment
  - b. Bereavement
  - c. Anger management
  - d. Self esteem, emotional support, friendship
  - e. Social skills
  - f. ADHD



13. The team worked with 108 children during the 2016/17 academic year. Of the 75 cases which were closed at the end of the academic year;
- 51 returned to School Support (no further action from external agencies required)
  - 2 return to school action following a managed move
  - 4 returned to school action with a single plan
  - 1 was placed in Bede ARMS
  - 14 were placed in specialist provision (Eslington School)
  - 4 were permanently excluded (of which 3 were then placed in Eslington and 1 in Bede Arms.)
  - 0 were placed out of borough
14. Of the remaining 23 cases which remained open at the start of the 2017/18 academic year:
- a. 20 remained opened as work was still ongoing (Referred less than two terms previously)
  - b. 1 were open for monitoring purposes only and were expected to close at October ½ term
  - c. 6 were long running complex cases and required continuing support
  - d. 4 were waiting for an EHCP and required continued support
  - e. 11 new referrals in July to begin assessment in September 17
15. Staff provide transition support in the summer term for Y6 children to aid in their successful transition to secondary school, all of which to date have made successful transitions into secondary school.
16. Staff also work alongside the primary Fair Access process in both the referring and receiving schools, supporting managed moves which have enabled the moves to be successful.
17. A more detailed breakdown of the work of the Primary Behaviour Support Team can be found in the annual report which was sent to schools in October 2017.

### **Primary Fair Access Educational Psychologist (FAP EP)**

18. Every local authority is required to have in place a Fair Access Protocol (Schools Admissions Code 2012 article 3.9), developed in partnership and agreed with the majority of its schools, in which all schools (including Academies) must participate since it is binding on all schools.
19. The purpose of Fair Access Protocols is to ensure that, outside the normal admissions round, unplaced children/young people, especially the most vulnerable, are found and offered a place as quickly as possible to minimise the time they are kept out of school.
20. In 2014 Schools Forum agreed to de-delegate funds for an Educational Psychologist to work to the primary Fair Access Panel.
21. In 2016/17 academic year there were 143 referrals to the primary Fair Access Panel which was a considerable increase from the 102 children referred in 2015/16 and the 60 children referred in 2014/15.

22. Over the past two years there has been a steady increase in the need for EP assessments for the children placed by the panel. Headteacher have stated...

*'We simply would not have had the time available at short notice for the school EP to assess him in the way that the FAP EP could...'*

*"EP's work undoubtedly affected X's ability to settle in and be accepted socially."*

23. By the end of the 2016/17 academic year there had been 50 referrals to the FAP EP, 29 of which had been made during the 2016/17 academic year. There continues to be a year on year increase in the number of referrals made to the FAP EP. At the end of the 2016/17 academic year 27 children were actively being worked with. There have also been a number of referrals made at the panels we have had in this academic year 2017/18.

24. The primary needs of these 27 children were in the following areas:

- a. Social communication difficulties (3)
- b. Social, emotional and mental health difficulties (9)
- c. Speech, language and communication difficulties (3)
- d. Learning difficulties (various) (11)
- e. Hearing impairment (1)

25. Of these 27

- a. 3 EP assessments are only in the early stages
- b. 9 children have remained in their mainstream school placements; their needs have been identified and are being met within school
- c. 2 children are due to transfer to a mainstream comprehensive school
- d. 5 children have remained (or are likely to remain) in their original mainstream school placements with additional support funded through an EHCP
- e. 6 children have transferred (or are likely to transfer) into the special school system as a result of recommendations made following assessment (via the EHCP process)
- f. 2 children have settled well and are no longer likely to require additional support in school

26. Types of support/intervention from the educational psychologist included:

- a. Initial observations and assessment
- b. Advice to schools and staff
  - i. SEN needs
  - ii. Behaviour
  - iii. Anxiety
- c. Transition
- d. Training
  - i. Behaviour
  - ii. Attachment
  - iii. Anger management
- e. Problem solving workshops

- f. Attending TAF/review meetings
- g. Preparing resources to support children

27. Referrals continue to rise steadily in this academic year 2017/18 and because EP time is allocated on a weekly basis, this time is now used in a variety of ways. The time is being used to assess needs prior to a child coming to the panel as well as allowing EP's to be more proactive in supporting the needs of FAP children. This means using this time to provide direct support both to the child, while building up staff skills as well.

28. In 2016/17 whole cluster training has been delivered to schools on:

- a. Attachment
- b. Autism
- c. ADHD
- d. Bereavement, loss and change

29. The feedback from the training was overwhelming positive; in total 1168 people attended the training between January 2016 and March 2017. A second training programme has been established which is a combination of training and workshops covering the following areas:

- a. Staff mental health
- b. Foetal Alcohol Spectrum Disorder
- c. Supporting Mental Health and Resilience in children
- d. Refugees and Asylum Seekers
- e. Inclusive Behaviour Management Strategies
- f. Using Play Therapeutically
- g. Introduction to Cognitive Behaviour Therapy (CBT) (for anxious children)
- h. Social interventions

30. This training is cluster based, at no costs to schools and schools can send as many staff as they wish to the sessions.

31. A more detailed breakdown of the work of the Primary Fair Access EP can be found in the annual report which was sent to schools in September 2016.

## **Proposal**

32. It is proposed that Schools Forum de-delegate funding for 2017/18.

33. Proposed de-delegation values are:-

- a. Fair Access Educational Psychologist     £4.50 per pupil (primary only)
- b. Primary Behaviour Support                     £8.08 per pupil (primary only)  
Or £3.00 per pupil and £20.00 based on low prior attainment pupil numbers

Appendix 1 shows a comparison of the funding options for Primary Behaviour Support.

## **Recommendations**

34. That School Forum provides feedback from mainstream maintained primary schools and makes a decision on the de-delegation of funds to continue with the Primary

Fair Access Educational Psychologist and the Primary Behaviour Support Team special needs assistants.

**For the following reason(s):**

35. To ensure that all children and young people in Gateshead receive an education that is suitable and appropriate to their needs and abilities.

36. To enable schools to receive the support to accurately identify the needs of children and young people and implement support strategies as/when appropriate

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**CONTACT: Jeanne Pratt**

**ext. 8644**

## Appendix 1 Funding options for Primary Behaviour Support.

School Name	2018/19			Total De-delegation	De-Delegation
	£563.33	£3.00	£20.00		
	Low Attainment (P)	Basic Entitlement	Low Prior Attainment		
	<b>£2,144,627.54</b>	<b>£42,888.00</b>	<b>£73,512.20</b>	<b>£116,400.20</b>	<b>£115,511.68</b>
Carr Hill Community Primary School	£77,286.42	£924.00	£2,743.91	£3,667.91	£2,488.64
Kelvin Grove Primary School	£67,749.93	£876.00	£2,405.34	£3,281.34	£2,359.36
South Street Primary School	£50,263.52	£810.00	£1,784.51	£2,594.51	£2,181.60
Bede Community Primary School	£47,457.81	£516.00	£1,684.90	£2,200.90	£1,389.76
Oakfield Junior School	£23,844.64	£720.00	£846.56	£1,566.56	£1,939.20
LARKSPUR COMMUNITY PRIMARY SCHOOL	£32,487.54	£468.00	£1,153.41	£1,621.41	£1,260.48
Oakfield Infant School	£14,195.92	£540.00	£504.00	£1,044.00	£1,454.40
Ravensworth Tce Primary School	£14,417.73	£756.00	£511.87	£1,267.88	£2,036.16
Portobello Primary School	£26,232.59	£627.00	£931.34	£1,558.34	£1,688.72
Birtley East Primary School	£37,317.10	£636.00	£1,324.88	£1,960.88	£1,712.96
Dunston Hill Community Primary	£57,858.00	£1,167.00	£2,054.14	£3,221.14	£3,143.12
Emmaville Primary School	£27,147.75	£891.00	£963.83	£1,854.83	£2,399.76
High Spen Primary School	£18,640.17	£468.00	£661.79	£1,129.79	£1,260.48
Swalwell Primary School	£35,487.44	£525.00	£1,259.92	£1,784.92	£1,414.00
Winlaton West Lane Primary Sch	£44,331.36	£1,017.00	£1,573.90	£2,590.90	£2,739.12
Greenside Primary School	£16,689.79	£522.00	£592.54	£1,114.54	£1,405.92
Blaydon West Primary School	£16,967.50	£396.00	£602.40	£998.40	£1,066.56
Front Street Community Primary	£51,506.14	£1,194.00	£1,828.63	£3,022.63	£3,215.84
Highfield Primary School	£15,937.34	£324.00	£565.83	£889.83	£872.64
Ryton Community Infant School	£10,797.16	£345.00	£383.33	£728.33	£929.20
Ryton Community Junior School	£13,012.92	£570.00	£462.00	£1,032.00	£1,535.20
Washingwell Community Primary School	£19,862.01	£480.00	£705.16	£1,185.16	£1,292.80
Bill Quay Primary School	£24,573.86	£621.00	£872.45	£1,493.45	£1,672.56
Falla Park Community Primary School	£39,942.96	£651.00	£1,418.10	£2,069.10	£1,753.36
Brandling Primary School	£23,427.05	£366.00	£831.73	£1,197.73	£985.76
Lingey House Primary School	£67,103.74	£1,200.00	£2,382.40	£3,582.40	£3,232.00
THE DRIVE PRIMARY SCHOOL	£26,683.06	£546.00	£947.33	£1,493.33	£1,470.56
White Mere Comm Primary School	£19,950.10	£402.00	£708.29	£1,110.29	£1,082.72
Clover Hill Primary School	£19,089.92	£624.00	£677.75	£1,301.75	£1,680.64
Crookhill Primary	£24,872.08	£567.00	£883.04	£1,450.04	£1,527.12
Brighton Avenue Primary School	£48,240.13	£804.00	£1,712.68	£2,516.68	£2,165.44
Lobley Hill Primary School	£45,480.02	£1,149.00	£1,614.68	£2,763.68	£3,094.64
Wardley Primary School	£49,561.15	£837.00	£1,759.58	£2,596.58	£2,254.32
Glynwood Primary School	£48,037.38	£990.00	£1,705.48	£2,695.48	£2,666.40
Barley Mow Primary School	£23,801.98	£558.00	£845.05	£1,403.05	£1,502.88
Windy Nook Primary School	£37,350.66	£867.00	£1,326.07	£2,193.07	£2,335.12
Colegate Primary	£23,821.24	£540.00	£845.73	£1,385.73	£1,454.40
Roman Road Primary School	£28,157.81	£504.00	£999.69	£1,503.69	£1,357.44
Fellside Primary School	£17,200.53	£627.00	£610.67	£1,237.67	£1,688.72
Fell Dyke Primary School	£54,371.26	£846.00	£1,930.35	£2,776.35	£2,278.56
Caedmon Community Primary	£49,150.97	£621.00	£1,745.02	£2,366.02	£1,672.56
Kells Lane Primary School	£25,440.10	£1,245.00	£903.20	£2,148.20	£3,353.20
Chopwell Primary	£45,547.54	£636.00	£1,617.08	£2,253.08	£1,712.96
Park Head Primary School	£49,431.63	£942.00	£1,754.98	£2,696.98	£2,537.12
St Aidan's CofE Primary School	£42,368.51	£621.00	£1,504.22	£2,125.22	£1,672.56
Harlow Green Primary School	£56,050.03	£1,161.00	£1,989.95	£3,150.95	£3,126.96
Rowlands Gill Primary School	£34,716.13	£873.00	£1,232.53	£2,105.53	£2,351.28
WHICKHAM PAROCHIAL PRIMARY SCH	£17,203.03	£636.00	£610.76	£1,246.76	£1,712.96
Corpus Christi Catholic School	£31,620.03	£591.00	£1,122.61	£1,713.61	£1,591.76
St Joseph's R C Primary School	£37,027.34	£612.00	£1,314.59	£1,926.59	£1,648.32
St Oswalds RC (Aided) Primary	£22,722.88	£564.00	£806.73	£1,370.73	£1,519.04
St Peter's R C Primary School	£27,253.03	£633.00	£967.57	£1,600.57	£1,704.88
St Annes Catholic Primary School	£17,816.61	£378.00	£632.55	£1,010.55	£1,018.08
St Joseph's Catholic Junior School	£16,381.84	£342.00	£581.61	£923.61	£921.12
Birtley St.Joseph's Catholic Infant School	£10,286.60	£267.00	£365.21	£632.21	£719.12
St Agnes' R C Primary School	£23,368.70	£624.00	£829.66	£1,453.66	£1,680.64
St Joseph's R C Sch Highfield	£13,547.00	£366.00	£480.96	£846.96	£985.76
St Mary & St Thomas Aquinas	£17,902.30	£639.00	£635.59	£1,274.59	£1,721.04
St Philip Neri R C Primary Sch	£21,618.27	£489.00	£767.52	£1,256.52	£1,317.04
ST JOSEPH'S CATHOLIC SCHOOL	£30,350.90	£639.00	£1,077.55	£1,716.55	£1,721.04
St Mary's R.C. Primary School	£23,139.82	£627.00	£821.54	£1,448.54	£1,688.72
St Alban's Catholic Primary School	£48,177.97	£651.00	£1,710.47	£2,361.47	£1,753.36
Felling St.Augustine's R.C.P	£47,744.79	£918.00	£1,695.09	£2,613.09	£2,472.48
St Wilfrid's R C Primary Sch.	£20,559.77	£342.00	£729.94	£1,071.94	£921.12

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**TITLE OF REPORT:**           **Consultation on the Frequency of Payments to Early Years Providers**

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### **Purpose of the Report**

To enable Schools Forum to comment and have input into the consultation with Early Years providers regarding the frequency of payments to providers of early education and childcare.

### **Background**

Payment relates to funding for eligible two year olds, the universal entitlement and the additional entitlement for eligible working parents of three and four year olds.

Currently, private, voluntary and independent providers (PVI) of early education and primary academies with a nursery class receive two payments each term. The first payment is made near the beginning of each term and is based on 70% of the amount estimated by the provider to be due for the term. The second payment is made in the second half of each term and is made up of the balance due plus amounts for Early Years Pupil Premium, Disability Access Fund and Inclusion Fund where appropriate.

Statutory guidance for local authorities on early education and childcare, issued by the Department for Education, states in paragraph A4.13 that:-

‘Local authorities should pay all providers, particularly childminders, monthly and are expected to do so from September 2018. If a provider requests and the local authority agree an existing alternative sustainable method of payment may be continued.’

Payments due to all providers are calculated using the Servelec (formerly Tribal) system and this has recently been upgraded to cope with a possible change to monthly payments should providers prefer this option.

The following table illustrates the difference in the frequency of payments of both systems.

	Summer Term					Autumn Term				Spring Term		
	April	May	June	July	Aug	Sept	Oct	Nov	Dec	Jan	Feb	Mar
Current Payment System	70%	0%	0%	30%	0%	70%	0%	0%	30%	70%	0%	30%
Monthly Payment System	23%	23%	23%	30%	0%	23%	23%	23%	30%	35%	35%	30%

The change to monthly payments basically means that the 70% estimate is dispersed over a number of months with the final payment of 30% being unaffected and based on actual take up.

One advantage of the monthly payment system is that providers can amend their estimate should take up of places unexpectedly increase or decrease from the time they submit their initial estimate.

Additional bank charges would be incurred by the Local Authority, but these are not thought to be prohibitive.

## Proposal

Briefings are to be held with PVI providers and academies in January 2018 to explain the detail of the changes and give providers the opportunity to ask any questions they may have.

Following these briefings, PVI providers and academies will be asked to express their preference on either retaining the current system or moving to a system of monthly payments.

A further report will be prepared for Schools Forum with the results of the consultation.

The process of funding to maintained primary schools with nursery classes would be unaffected by any possible changes to payments to PVI and academies and their views would therefore not be included as part of the consultation.

## Recommendation

It is recommended that Schools Forum grant permission to brief providers on the monthly payment system and to consult with providers on their preferred option.

### For the following reasons:-

- To comply with Statutory Guidance.
- To comply with the timescales for the implementation of monthly payments, should providers prefer this system of payments.

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CONTACT Gillian Dodds



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**TITLE OF REPORT: Schools Funding Update and Proposed Mainstream Formula**

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## **Purpose of the Report**

The purpose of this report is to update Schools Forum on School Funding developments and to ask Schools Forum to approve proposals made by the Mainstream Schools Funding Sub Group.

## **Background**

The Mainstream Schools Funding Sub Group met for the second time on 14 November. The below agreed principles and funding aims were used to guide modelling and the sub group were taken through the modelling process and agreed on the proposed model attached at appendix 4.

## **Principles**

- An affordable equitable and transparent mainstream school funding formula
- A formula that will focus only on mainstream school age range – not taking other age ranges into account (e.g. post 16 or 2, 3 & 4 year olds)
- A formula that where possible prepares schools for the National Funding Formula (NFF) and moves Gateshead's formula in the direction of travel towards the NFF

## **Funding Aims**

- Phase the changes required over the next 2 funding periods
- 1:129 primary secondary ratios
- 3% funding gains cap
- Positive Minimum Funding Guarantee (MFG) if possible
- Minimise the value of MFG

## **Process**

The sub group agreed a phased approach to move from Gateshead's current formula to the National Funding Formula. The group proposed to move Gateshead's current formula in three equal phases to the NFF.

During modelling it was found that moving to phase one and applying the above funding aims there was still funding available to allocate. The approach then taken was to move to the second phase of transition if there was an increase in the funding factor value, and stay at phase one for those factors that were reducing in value. Appendix 1 shows Gateshead's current formula compared to the NFF.

Appendix 2 Phased factor values

Appendix 3 Proposed factor values for 2018/19

Appendix 4 Proposed Authority Proforma Tool summary

Appendix 5 School level data Gateshead's current funding formula v NFF (adjusted for Additionally Resourced Mainstream Schools (ARMS) pupils).

Appendix 6 School level data Gateshead's funding current formula v proposed formula (adjusted for Additionally Resourced Mainstream Schools (ARMS) pupils).

Any decreases in funding are due to changes in premises related cost e.g. rates and lump sum reductions as the most up to date data has been used to try and ensure the formula is affordable. Premises related factors are excluded from the per pupil MFG calculations.

Appendix 7 School Level data NFF v proposed formula (adjusted for Additionally Resourced Mainstream Schools (ARMS) pupils).

Any decreases in funding are due to changes in premises related cost e.g. rates and lump sum reductions as the most up to date data has been used to try and ensure the formula is affordable. Premises related factors are excluded from the per pupil MFG calculations.

## **Timeline**

The following timeline is required to meet the DfE timescales on the submission of the APT in January 2018:

- Final proposals for Schools Forum 7 December
- Consultation with all schools 8 to 15 December
- Consultation outcome and Formula Schools Forum special meeting 19 December
- Schools Forum approval 11 January
- Portfolio approval 15 January
- Submission to DfE 19 Jan 2018

## **Proposal**

It is proposed that Schools Forum notes the information in the report and approves the proposed principles and funding aims.

## **Recommendations**

It is recommended that School Forum approves the principles and funding aims proposed.

## **For the following reasons:**

- To enable further financial modelling to be undertaken using the principles and funding aims to enable Gateshead's mainstream funding formula to transition to the NFF
- 

**CONTACT: Carole Smith ext. 2747**

## Appendix 1- Gateshead's current formula compared to the NFF

						Difference	Difference
1) Basic Entitlement Age Weighted Pupil Unit (AWPU)	Description	Amount per pupil Gateshead		Amount per pupil NFF		AWPU	
	Primary (Years R-6)	2,905.00		2,746.99		-158.01	
	Key Stage 3 (Years 7-9)	3,750.00		3,862.65		112.65	
	Key Stage 4 (Years 10-11)	4,360.00		4,385.81		25.81	
		Primary amount per pupil	Secondary amount per pupil	Primary amount per pupil	Secondary amount per pupil	Primary amount per pupil	Secondary amount per pupil
2) Deprivation	Current FSM	0.00	0.00	440.00	440.00	440.00	440.00
	FSM6 % Primary	850.00		540.00		-310.00	
	FSM6 % Secondary		1,400.00		785.00	0.00	-615.00
	IDACI Band F	0.00	0.00	200.00	290.00	200.00	290.00
	IDACI Band E	0.00	0.00	240.00	390.00	240.00	390.00
	IDACI Band D	347.75	416.24	360.00	515.00	12.25	98.76
	IDACI Band C	401.25	480.28	390.00	560.00	-11.25	79.72
	IDACI Band B	481.50	576.33	420.00	600.00	-61.50	23.67
IDACI Band A	802.50	960.55	575.00	810.00	-227.50	-150.55	
3) Looked After Children (LAC)	Description	Primary amount per pupil	Secondary amount per pupil	Primary amount per pupil	Secondary amount per pupil	Primary amount per pupil	Secondary amount per pupil
4) English as an Additional Language (EAL)	LAC X March 16	1,500.00		0.00		-1,500.00	-1,500.00
	EAL 3 Primary	260.00		515.00		255.00	
5) Mobility	EAL 3 Secondary		260.00		1,385.00		1,125.00
	Pupils starting school outside of normal entry dates	2,000.00	2,000.00	Cash Lump Sum £193,00 Historic Funding		0.00	0.00
6) Prior attainment	Description	Weighting	Amount per pupil	Weighting	Amount per pupil	Weighting	Amount per pupil
	Low Attainment % new EFSP	0.70		1.00	1,050.00	0.30	
	Low Attainment % old FSP 73		320.00				730.00
	Secondary low attainment (year 7)	0.48		0.48	1,385.00	0.00	
	Secondary low attainment (years 8 to 11)		550.00				835.00
<b>Other Factors</b>							
Factor		Lump Sum per Primary School (£)	Lump Sum per Secondary School (£)	Lump Sum per Primary School (£)	Lump Sum per Secondary School (£)	Lump Sum per Primary School (£)	Lump Sum per Secondary School (£)
7) Lump Sum		115,000.00	140,000.00	110,000.00	110,000.00	-5,000.00	-30,000.00

## Appendix 2 - Phased factor values

		2018/19		2019/20		2020/21	
1) Basic Entitlement	Phase 1		Phase 2		Phase 3 NFF		
	Description	Amount per pupil NFF		Amount per pupil NFF		Amount per pupil NFF	
Age Weighted Pupil Unit (AWPU)	Primary (Years R-6)	2,852.33		2,799.66		2,746.99	
	Key Stage 3 (Years 7-9)	3,787.55		3,825.10		3,862.65	
	Key Stage 4 (Years 10-11)	4,368.60		4,377.21		4,385.81	
	Description	Primary amount per pupil	Secondary amount per pupil	Primary amount per pupil	Secondary amount per pupil	Primary amount per pupil	Secondary amount per pupil
2) Deprivation	Current FSM	146.67	146.67	293.33	293.33	440.00	440.00
	FSM6 % Primary	746.67		643.33	0.00	540.00	
	FSM6 % Secondary		1,195.00	0.00	990.00		785.00
	IDACIBand F	66.67	96.67	133.33	193.33	200.00	290.00
	IDACIBand E	80.00	130.00	160.00	260.00	240.00	390.00
	IDACIBand D	351.83	449.16	355.92	482.08	360.00	515.00
	IDACIBand C	397.50	506.85	393.75	533.43	390.00	560.00
	IDACIBand B	461.00	584.22	440.50	592.11	420.00	600.00
	IDACIBand A	726.67	910.37	650.83	860.18	575.00	810.00
3) Looked After Children (LAC)							
Description	Primary amount per pupil	Secondary amount per pupil	Primary amount per pupil	Secondary amount per pupil	Primary amount per pupil	Secondary amount per pupil	
4) English as an	LAC X March 16	1,000.00		500.00		0.00	
	EAL 3 Primary	345.00	0.00	430.00	0.00	515.00	
5) Mobility	EAL 3 Secondary	0.00	635.00	0.00	1,010.00		1,385.00
	Pupils starting school outside of normal entry dates	2,000.00		2,000.00		Cash Lump Sum £193,00 Historic Funding	
6) Prior attainment	Description	Weighting	Amount per pupil	Weighting	Amount per pupil	Weighting	Amount per pupil
	Low Attainment % new EFSP	0.80	563.33	0.90	806.67	1.00	1,050.00
	Low Attainment % old FSP 73						
	Secondary low attainment (year 7)	0.48	828.33	0.48	1,106.67	0.48	1,385.00
Secondary low attainment (years 8 to 11)							
<b>Other Factors</b>							
Factor		Lump Sum per Primary School (£)	Lump Sum per Secondary School (£)	Lump Sum per Primary School (£)	Lump Sum per Secondary School (£)	Lump Sum per Primary School (£)	Lump Sum per Secondary School (£)
7) Lump Sum		113,333.33	130,000.00	111,666.67	120,000.00	110,000.00	110,000.00

### Appendix 3 - Proposed factor values for 2018/19

		2018/19		2019/20		2020/21	
1) Basic Entitlement Age Weighted Pupil		<b>Phase 1</b>		<b>Phase 2</b>		<b>Phase 3 NFF</b>	
	<b>Description</b>					<b>Amount per pupil</b>	
	Primary (Years R-6)	2,852.33					
	Key Stage 3 (Years 7-9)			3,825.10			
	Key Stage 4 (Years 10-11)					4,385.81	
	<b>Description</b>	<b>Primary amount per pupil</b>	<b>Secondary amount per pupil</b>	<b>Primary amount per pupil</b>	<b>Secondary amount per pupil</b>	<b>Primary amount per pupil</b>	<b>Secondary amount per pupil</b>
2) Deprivation	Current FSM					440.00	440.00
	FSM6 % Primary	746.67					
	FSM6 % Secondary		1,195.00				
	IDACI Band F			133.33	193.33		
	IDACI Band E			160.00	260.00		
	IDACI Band D			355.92	482.08		
	IDACI Band C	397.50			533.43		
	IDACI Band B	461.00			592.11		
IDACI Band A	726.67	910.37					
3) Looked After Children (LAC)	<b>Description</b>	<b>Primary amount per pupil</b>	<b>Secondary amount per pupil</b>	<b>Primary amount per pupil</b>	<b>Secondary amount per pupil</b>	<b>Primary amount per pupil</b>	<b>Secondary amount per pupil</b>
4) English	LAC X March 16	1,000.00					
	EAL 3 Primary			430.00			
5) Mobility	EAL 3 Secondary				1,010.00		
	Pupils starting school outside of normal entry dates	Cash Lump Sum £193,00 Historic Funding					
6) Prior attainment	<b>Description</b>	<b>Weighting</b>	<b>Amount per pupil</b>	<b>Weighting</b>	<b>Amount per pupil</b>	<b>Weighting</b>	<b>Amount per</b>
	Low Attainment % new EFSP		563.33				
	Low Attainment % old FSP 73						
	Secondary low attainment (year 7)	0.48	828.33				
	Secondary low attainment (years 8 to 11)						
<b>Other Factors</b>							
<b>Factor</b>		<b>Lump Sum per Primary School (£)</b>	<b>Lump Sum per Secondary School (£)</b>	<b>Lump Sum per Primary School (£)</b>	<b>Lump Sum per Secondary School (£)</b>	<b>Lump Sum per Primary School (£)</b>	<b>Lump Sum per Secondary School (£)</b>
7) Lump Sum		113,333.33	130,000.00				

# Appendix 4 Proposed Authority Proforma Tool summary

## Local Authority Funding Reform Proforma

LA Name:	0		
LA Number:	0		
Premises costs to exclude from allocation when calculating the minimum funding	Rates	PFI	Split Sites
	Yes	Yes	No
Primary minimum per pupil funding level	Middle school minimum per pupil funding level for	Secondary minimum per pupil funding level	
3300		4600	

### Pupil Led Factors

Reception uplift		No	Pupil Units		0.00						
Description		Amount per pupil		Pupil Units		Sub Total	Total	Proportion of total pre MFG funding (%)		Notional SEN (%)	
1) Basic Entitlement Age Weighted Pupil Unit (AWPU)		Primary (Years R-6)		14,846.00		£42,345,691	£77,518,339	39.21%		5.00%	
		Key Stage 3 (Years 7-9)		5,306.00		£20,295,981		18.80%		5.00%	
		Key Stage 4 (Years 10-11)		3,392.00		£14,876,668		13.78%		5.00%	
Description		Primary amount per pupil	Secondary amount per pupil	Eligible proportion of primary NOR	Eligible proportion of secondary NOR	Sub Total	Total	Proportion of total pre MFG funding (%)		Primary Notional SEN (%)	Secondary Notional SEN (%)
2) Deprivation		£440.00	£440.00	2,520.00	1,544.00	£1,788,160	£13,094,573	12.13%		23.00%	19.00%
		£746.67	£1,195.00	4,554.09	2,965.63	£6,944,332				0.00%	19.00%
		£133.33	£193.33	1,786.10	989.91	£429,529				0.00%	0.00%
		£160.00	£260.00	1,753.55	951.47	£527,951				0.00%	0.00%
		£355.92	£482.08	2,076.78	1,147.99	£1,292,580				0.00%	0.00%
		£397.50	£533.43	588.23	331.00	£410,385				0.00%	0.00%
		£461.00	£592.11	1,083.50	553.90	£827,464				23.00%	19.00%
		£726.67	£910.37	722.81	383.28	£874,171				23.00%	19.00%
3) Looked After Children (LAC)		LAC X March 16		188.70		£188,699	£797,919	0.17%		0.00%	
4) English as an Additional Language (EAL)		EAL 3 Primary		711.85		£306,094		0.39%		0.00%	
		EAL 3 Secondary		109.04		£110,125	0.18%		0.00%		
5) Mobility		Pupils starting school outside of normal entry dates		96.50		£193,000	0.18%		0.00%		
Description		Weighting	Amount per pupil	Percentage of eligible pupils	Eligible proportion of primary and secondary NOR respectively	Sub Total	Total	Proportion of total pre MFG funding (%)		Primary Notional SEN (%)	Secondary Notional SEN (%)
6) Prior attainment		70.00%	£563.33	30.04%	3,807.05	£2,144,628	£3,467,484	3.21%		100.00%	
				16.30%							
		48.02%		18.28%							
		48.02%	£828.33	18.38%	1,597.02	£1,322,857					100.00%

### Other Factors

Factor	Lump Sum per Primary School (£)	Lump Sum per Secondary School (£)	Lump Sum per Middle School (£)	Lump Sum per All-through School (£)	Total (£)	Proportion of total pre MFG funding (%)	Notional SEN (%)	
7) Lump Sum	£113,333.33	£130,000.00			£8,763,333	8.12%		
8) Sparsity factor					£0	0.00%		
Please provide alternative distance and pupil number thresholds for the sparsity factor below. Please leave blank if you want to use the default thresholds. Also specify whether you want to use a tapered lump sum								
Primary distance threshold (miles)	Primary pupil number average year group threshold				Fixed or tapered sparsity primary	Fixed		
Secondary distance threshold (miles)	Secondary pupil number average year group threshold				Fixed or tapered sparsity secondary	Fixed		
Middle schools distance threshold (miles)	Middle school pupil number average year group threshold				Fixed or tapered sparsity middle school	Fixed		
All-through schools distance threshold (miles)	All-through pupil number average year group threshold				Fixed or tapered sparsity all-through	Fixed		
9) Fringe Payments					£0	0.00%		
10) Split Sites					£0	0.00%		
11) Rates					£1,793,379	1.66%		
12) PFI funding					£2,520,600	2.33%		
13) Exceptional circumstances (can only be used with prior agreement of ESFA)								

Circumstance	Total (£)	Proportion of total pre MFG funding (%)	Notional SEN (%)	
Additional lump sum for schools amalgamated during FY17-18	£0	0.00%	0.00%	0.00%
Additional sparsity lump sum for small schools	£0	0.00%		
Exceptional Circumstance3	£0	0.00%		
Exceptional Circumstance4	£0	0.00%		
Exceptional Circumstance5	£0	0.00%		
Exceptional Circumstance6	£0	0.00%		

Additional funding to meet minimum per pupil funding level	£28,790		
<b>Total Funding for Schools Block Formula (excluding MFG Funding Total) (£)</b>	<b>£107,984,417</b>	<b>100.00%</b>	<b>£9,547,243</b>

14) Minimum Funding Guarantee	0.50%	£209,856
Apply capping and scaling factors? (gains may be capped above a specific ceiling and/or scaled)	Yes	
Capping Factor (%)	3.00%	Scaling Factor (%)
100.00%		
Total deduction if capping and scaling factors are applied	-£138,003	
MFG Net Total Funding (MFG + deduction from capping and scaling)	Total (£)	Proportion of Total funding (%)
	£71,854	0.07%
High Needs threshold (only fill in if, exceptionally, a high needs threshold different from £6,000 has been approved)	£0.00	
Additional funding from the high needs budget	£0.00	
Growth fund (if applicable)	£35,000.00	
Falling rolls fund (if applicable)	£0.00	
<b>Total Funding For Schools Block Formula</b>	<b>£108,056,270</b>	
<b>% Distributed through Basic Entitlement</b>	<b>71.79%</b>	
<b>% Pupil Led Funding</b>	<b>87.86%</b>	
<b>Primary: Secondary Ratio</b>	<b>1 :</b>	<b>1.29</b>

Total funding for schools block formula contains funding from outside of the 2018-19 Schools Block formula?	No
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## Appendix 5 School level data - Gateshead's current funding formula v NFF

School Name	Notional total NFF funding in 2018-19	2017 18 Arms Adjustment	% Change
	<b>£107,843,000</b>	<b>£105,601,300</b>	<b>2.12%</b>
Carr Hill Community Primary School	£1,387,000.00	£1,350,327.19	2.72%
Kelvin Grove Primary School	£1,311,000.00	£1,277,788.84	2.60%
South Street Primary School	£1,253,000.00	£1,221,221.42	2.60%
Bede Community Primary School	£939,000.00	£916,383.42	2.47%
Oakfield Junior School	£870,000.00	£866,617.61	0.39%
LARKSPUR COMMUNITY PRIMARY SCHOOL	£810,000.00	£790,680.01	2.44%
Oakfield Infant School	£677,000.00	£674,351.80	0.39%
Ravensworth Tce Primary School	£962,000.00	£951,268.76	1.13%
Portobello Primary School	£803,000.00	£784,783.16	2.32%
Birtley East Primary School	£942,000.00	£918,300.60	2.58%
Dunston Hill Community Primary	£1,631,000.00	£1,586,400.51	2.81%
Emmaville Primary School	£1,070,000.00	£1,065,519.55	0.42%
High Spen Primary School	£667,000.00	£658,136.04	1.35%
Swalwell Primary School	£847,000.00	£843,219.37	0.45%
Winlaton West Lane Primary Sch	£1,287,000.00	£1,253,196.44	2.70%
Greenside Primary School	£751,000.00	£747,483.73	0.47%
Blaydon West Primary School	£630,000.00	£627,453.74	0.41%
Front Street Community Primary	£1,511,000.00	£1,472,489.83	2.62%
Highfield Primary School	£625,000.00	£619,798.10	0.84%
Ryton Community Infant School	£490,000.00	£485,370.47	0.95%
Ryton Community Junior School	£728,000.00	£725,010.64	0.41%
Washingwell Community Primary School	£669,000.00	£652,794.61	2.48%
Bill Quay Primary School	£808,000.00	£788,562.59	2.46%
Falla Park Community Primary School	£1,000,000.00	£974,706.57	2.59%
Brandling Primary School	£643,000.00	£640,616.18	0.37%
Lingey House Primary School	£1,604,000.00	£1,563,015.89	2.62%
THE DRIVE PRIMARY SCHOOL	£820,000.00	£808,264.98	1.45%
White Mere Comm Primary School	£557,000.00	£544,743.89	2.25%
Clover Hill Primary School	£770,000.00	£751,451.00	2.47%
Crookhill Primary	£775,000.00	£757,691.39	2.28%
Brighton Avenue Primary School	£1,275,000.00	£1,266,185.39	0.70%
Lobley Hill Primary School	£1,470,000.00	£1,448,681.83	1.47%
Wardley Primary School	£1,096,000.00	£1,067,568.60	2.66%
Glynwood Primary School	£1,337,000.00	£1,301,540.11	2.72%
Barley Mow Primary School	£832,000.00	£825,975.00	0.73%
Windy Nook Primary School	£1,095,000.00	£1,066,807.54	2.64%
Colegate Primary	£800,000.00	£779,961.09	2.57%
Roman Road Primary School	£872,000.00	£855,483.15	1.93%
Fellside Primary School	£759,000.00	£755,517.28	0.46%
Fell Dyke Primary School	£1,348,000.00	£1,322,515.54	1.93%
Caedmon Community Primary	£931,000.00	£907,816.88	2.55%

Kells Lane Primary School	£1,408,000.00	£1,371,347.64	2.67%
Chopwell Primary	£939,000.00	£915,653.86	2.55%
Park Head Primary School	£1,268,000.00	£1,234,765.33	2.69%
St Aidan's CofE Primary School	£924,000.00	£900,163.81	2.65%
Harlow Green Primary School	£1,738,000.00	£1,689,938.97	2.84%
Rowlands Gill Primary School	£1,355,000.00	£1,319,044.25	2.73%
WHICKHAM PAROCHIAL PRIMARY SCH	£766,000.00	£761,589.34	0.58%
Corpus Christi Catholic School	£843,000.00	£821,232.53	2.65%
St Joseph's R C Primary School	£858,000.00	£836,258.00	2.60%
St. Oswald's RC (Aided) Primary	£810,000.00	£806,387.98	0.45%
St Peter's R C Primary School	£784,000.00	£764,186.19	2.59%
St Anne's Catholic Primary School	£553,000.00	£540,064.74	2.40%
St Joseph's Catholic Junior School	£503,000.00	£491,869.11	2.26%
Birtley St. Joseph's Catholic Infant School	£419,000.00	£411,086.39	1.93%
St Agnes' R C Primary School	£758,000.00	£752,961.76	0.67%
St Joseph's R C Sch Highfield	£521,000.00	£503,904.44	3.39%
St Mary & St Thomas Aquinas	£766,000.00	£757,940.16	1.06%
St Philip Neri R C Primary Sch	£682,000.00	£665,611.47	2.46%
ST JOSEPH'S CATHOLIC SCHOOL	£803,000.00	£782,603.27	2.61%
St Mary's R.C. Primary School	£770,000.00	£750,608.73	2.58%
St Alban's Catholic Primary School	£835,000.00	£814,188.26	2.56%
Felling St. Augustine's R.C.P	£1,174,000.00	£1,142,988.30	2.71%
St Wilfrid's R C Primary Sch.	£598,000.00	£595,607.64	0.40%
Heworth Grange Comprehensive	£5,553,000.00	£5,397,985.72	2.87%
Kingsmeadow Community Comprehensive School	£4,133,000.00	£4,014,487.63	2.95%
Kibblesworth Academy	£628,000.00	£621,734.23	1.01%
RIVERSIDE PRIMARY ACADEMY	£984,000.00	£961,393.22	2.35%
Sacred Heart Catholic Primary School	£657,000.00	£643,140.68	2.15%
Thomas Hepburn Community Academy	£2,940,000.00	£2,894,644.42	1.57%
Thorp Academy	£4,123,000.00	£4,035,779.81	2.16%
Lord Lawson of Beamish Academy	£7,080,000.00	£6,937,815.49	2.05%
WHICKHAM COMPREHENSIVE SCHOOL	£6,069,000.00	£5,923,514.23	2.46%
Joseph Swan Academy	£4,769,000.00	£4,664,245.63	2.25%
Cardinal Hume Catholic School	£5,648,000.00	£5,549,879.45	1.77%
St Thomas More School	£5,532,000.00	£5,410,977.09	2.24%



## Appendix 6 School level data - Gateshead's funding current formula v proposed formula

School Name	18-19 Post MFG Budget	17-18 Post MFG Budget	% Change
	<b>£108,045,008</b>	<b>£105,601,300</b>	2.31%
Carr Hill Community Primary School	£1,386,489.21	£1,350,327.19	2.68%
Kelvin Grove Primary School	£1,318,486.99	£1,277,788.84	3.19%
South Street Primary School	£1,249,859.94	£1,221,221.42	2.35%
Bede Community Primary School	£924,429.98	£916,383.42	0.88%
Oakfield Junior School	£862,318.90	£866,617.61	-0.50%
LARKSPUR COMMUNITY PRIMARY SCHOOL	£807,422.19	£790,680.01	2.12%
Oakfield Infant School	£670,408.67	£674,351.80	-0.58%
Ravensworth Tce Primary School	£972,973.73	£951,268.76	2.28%
Portobello Primary School	£786,825.54	£784,783.16	0.26%
Birtley East Primary School	£936,038.99	£918,300.60	1.93%
Dunston Hill Community Primary	£1,653,873.59	£1,586,400.51	4.25%
Emmaville Primary School	£1,067,639.78	£1,065,519.55	0.20%
High Spen Primary School	£664,368.32	£658,136.04	0.95%
Swalwell Primary School	£838,635.28	£843,219.37	-0.54%
Winlaton West Lane Primary Sch	£1,266,600.83	£1,253,196.44	1.07%
Greenside Primary School	£749,030.29	£747,483.73	0.21%
Blaydon West Primary School	£629,744.11	£627,453.74	0.37%
Front Street Community Primary	£1,482,544.89	£1,472,489.83	0.68%
Highfield Primary School	£624,305.96	£619,798.10	0.73%
Ryton Community Infant School	£486,435.27	£485,370.47	0.22%
Ryton Community Junior School	£720,132.48	£725,010.64	-0.67%
Washingwell Community Primary School	£654,138.57	£652,794.61	0.21%
Bill Quay Primary School	£795,165.67	£788,562.59	0.84%
Falla Park Community Primary School	£1,001,536.08	£974,706.57	2.75%
Brandling Primary School	£650,503.43	£640,616.18	1.54%
Lingey House Primary School	£1,582,561.28	£1,563,015.89	1.25%
THE DRIVE PRIMARY SCHOOL	£814,814.15	£808,264.98	0.81%
White Mere Comm Primary School	£544,683.99	£544,743.89	-0.01%
Clover Hill Primary School	£760,351.86	£751,451.00	1.18%
Crookhill Primary	£767,807.75	£757,691.39	1.34%
Brighton Avenue Primary School	£1,269,441.43	£1,266,185.39	0.26%
Lobley Hill Primary School	£1,463,147.98	£1,448,681.83	1.00%
Wardley Primary School	£1,090,941.80	£1,067,568.60	2.19%
Glynwood Primary School	£1,322,058.78	£1,301,540.11	1.58%
Barley Mow Primary School	£852,762.52	£825,975.00	3.24%
Windy Nook Primary School	£1,076,843.52	£1,066,807.54	0.94%
Colegate Primary	£799,569.71	£779,961.09	2.51%
Roman Road Primary School	£780,141.90	£855,483.15	-8.81%
Fellside Primary School	£752,502.98	£755,517.28	-0.40%
Fell Dyke Primary School	£1,341,427.55	£1,322,515.54	1.43%
Caedmon Community Primary	£924,064.00	£907,816.88	1.79%
Kells Lane Primary School	£1,388,601.48	£1,371,347.64	1.26%
Chopwell Primary	£943,782.82	£915,653.86	3.07%

Park Head Primary School	£1,260,876.85	£1,234,765.33	2.11%
St Aidan's CofE Primary School	£931,518.87	£900,163.81	3.48%
Harlow Green Primary School	£1,752,268.81	£1,689,938.97	3.69%
Rowlands Gill Primary School	£1,366,464.39	£1,319,044.25	3.60%
WHICKHAM PAROCHIAL PRIMARY SCH	£761,447.96	£761,589.34	-0.02%
Corpus Christi Catholic School	£843,321.85	£821,232.53	2.69%
St Joseph's R C Primary School	£861,922.56	£836,258.00	3.07%
St. Oswald's RC (Aided) Primary	£816,868.33	£806,387.98	1.30%
St Peter's R C Primary School	£769,600.46	£764,186.19	0.71%
St Anne's Catholic Primary School	£551,916.55	£540,064.74	2.19%
St Joseph's Catholic Junior School	£502,149.46	£491,869.11	2.09%
Birtley St. Joseph's Catholic Infant School	£417,995.31	£411,086.39	1.68%
St Agnes' R C Primary School	£758,943.90	£752,961.76	0.79%
St Joseph's R C Sch Highfield	£509,313.29	£503,904.44	1.07%
St Mary & St Thomas Aquinas	£765,862.92	£757,940.16	1.05%
St Philip Neri R C Primary Sch	£683,598.01	£665,611.47	2.70%
ST JOSEPH'S CATHOLIC SCHOOL	£797,957.41	£782,603.27	1.96%
St Mary's R.C. Primary School	£758,197.60	£750,608.73	1.01%
St Alban's Catholic Primary School	£831,829.96	£814,188.26	2.17%
Felling St. Augustine's R.C.P	£1,172,987.89	£1,142,988.30	2.62%
St Wilfrid's R C Primary Sch.	£601,475.01	£595,607.64	0.99%
Heworth Grange Comprehensive	£5,615,658.70	£5,397,985.72	4.03%
Kingsmeadow Community Comprehensive School	£4,280,049.68	£4,014,487.63	6.62%
Kibblesworth Academy	£624,270.40	£621,734.23	0.41%
RIVERSIDE PRIMARY ACADEMY	£980,274.38	£961,393.22	1.96%
Sacred Heart Catholic Primary School	£652,490.77	£643,140.68	1.45%
Thomas Hepburn Community Academy	£2,990,704.29	£2,894,644.42	3.32%
Thorp Academy	£4,137,766.50	£4,035,779.81	2.53%
Lord Lawson of Beamish Academy	£7,133,438.13	£6,937,815.49	2.82%
WHICKHAM COMPREHENSIVE SCHOOL	£6,124,501.45	£5,923,514.23	3.39%
Joseph Swan Academy	£4,820,913.32	£4,664,245.63	3.36%
Cardinal Hume Catholic School	£5,705,010.06	£5,549,879.45	2.80%
St Thomas More School	£5,587,999.14	£5,410,977.09	3.27%

## Appendix 7 School Level data - NFF v proposed formula

School Name	18-19 Post MFG Budget	Notional total NFF funding in 2018-19	% Change
	<b>£108,045,008</b>	<b>£107,843,000</b>	0.19%
Carr Hill Community Primary School	£1,386,489.21	£1,387,000.00	-0.04%
Kelvin Grove Primary School	£1,318,486.99	£1,311,000.00	0.57%
South Street Primary School	£1,249,859.94	£1,253,000.00	-0.25%
Bede Community Primary School	£924,429.98	£939,000.00	-1.55%
Oakfield Junior School	£862,318.90	£870,000.00	-0.88%
LARKSPUR COMMUNITY PRIMARY SCHOOL	£807,422.19	£810,000.00	-0.32%
Oakfield Infant School	£670,408.67	£677,000.00	-0.97%
Ravensworth Tce Primary School	£972,973.73	£962,000.00	1.14%
Portobello Primary School	£786,825.54	£803,000.00	-2.01%
Birtley East Primary School	£936,038.99	£942,000.00	-0.63%
Dunston Hill Community Primary	£1,653,873.59	£1,631,000.00	1.40%
Emmaville Primary School	£1,067,639.78	£1,070,000.00	-0.22%
High Spen Primary School	£664,368.32	£667,000.00	-0.39%
Swalwell Primary School	£838,635.28	£847,000.00	-0.99%
Winlaton West Lane Primary Sch	£1,266,600.83	£1,287,000.00	-1.59%
Greenside Primary School	£749,030.29	£751,000.00	-0.26%
Blaydon West Primary School	£629,744.11	£630,000.00	-0.04%
Front Street Community Primary	£1,482,544.89	£1,511,000.00	-1.88%
Highfield Primary School	£624,305.96	£625,000.00	-0.11%
Ryton Community Infant School	£486,435.27	£490,000.00	-0.73%
Ryton Community Junior School	£720,132.48	£728,000.00	-1.08%
Washingwell Community Primary School	£654,138.57	£669,000.00	-2.22%
Bill Quay Primary School	£795,165.67	£808,000.00	-1.59%
Falla Park Community Primary School	£1,001,536.08	£1,000,000.00	0.15%
Brandling Primary School	£650,503.43	£643,000.00	1.17%
Lingey House Primary School	£1,582,561.28	£1,604,000.00	-1.34%
THE DRIVE PRIMARY SCHOOL	£814,814.15	£820,000.00	-0.63%
White Mere Comm Primary School	£544,683.99	£557,000.00	-2.21%
Clover Hill Primary School	£760,351.86	£770,000.00	-1.25%
Crookhill Primary	£767,807.75	£775,000.00	-0.93%
Brighton Avenue Primary School	£1,269,441.43	£1,275,000.00	-0.44%
Lobley Hill Primary School	£1,463,147.98	£1,470,000.00	-0.47%
Wardley Primary School	£1,090,941.80	£1,096,000.00	-0.46%
Glynwood Primary School	£1,322,058.78	£1,337,000.00	-1.12%
Barley Mow Primary School	£852,762.52	£832,000.00	2.50%
Windy Nook Primary School	£1,076,843.52	£1,095,000.00	-1.66%
Colegate Primary	£799,569.71	£800,000.00	-0.05%
Roman Road Primary School	£780,141.90	£872,000.00	-10.53%
Fellside Primary School	£752,502.98	£759,000.00	-0.86%
Fell Dyke Primary School	£1,341,427.55	£1,348,000.00	-0.49%
Caedmon Community Primary	£924,064.00	£931,000.00	-0.75%
Kells Lane Primary School	£1,388,601.48	£1,408,000.00	-1.38%
Chopwell Primary	£435,82.82	£939,000.00	0.51%

Park Head Primary School	£1,260,876.85	£1,268,000.00	-0.56%
St Aidan's CofE Primary School	£931,518.87	£924,000.00	0.81%
Harlow Green Primary School	£1,752,268.81	£1,738,000.00	0.82%
Rowlands Gill Primary School	£1,366,464.39	£1,355,000.00	0.85%
WHICKHAM PAROCHIAL PRIMARY SCH	£761,447.96	£766,000.00	-0.59%
Corpus Christi Catholic School	£843,321.85	£843,000.00	0.04%
St Joseph's R C Primary School	£861,922.56	£858,000.00	0.46%
St. Oswald's RC (Aided) Primary	£816,868.33	£810,000.00	0.85%
St Peter's R C Primary School	£769,600.46	£784,000.00	-1.84%
St Anne's Catholic Primary School	£551,916.55	£553,000.00	-0.20%
St Joseph's Catholic Junior School	£502,149.46	£503,000.00	-0.17%
Birtley St. Joseph's Catholic Infant School	£417,995.31	£419,000.00	-0.24%
St Agnes' R C Primary School	£758,943.90	£758,000.00	0.12%
St Joseph's R C Sch Highfield	£509,313.29	£521,000.00	-2.24%
St Mary & St Thomas Aquinas	£765,862.92	£766,000.00	-0.02%
St Philip Neri R C Primary Sch	£683,598.01	£682,000.00	0.23%
ST JOSEPH'S CATHOLIC SCHOOL	£797,957.41	£803,000.00	-0.63%
St Mary's R.C. Primary School	£758,197.60	£770,000.00	-1.53%
St Alban's Catholic Primary School	£831,829.96	£835,000.00	-0.38%
Felling St. Augustine's R.C.P	£1,172,987.89	£1,174,000.00	-0.09%
St Wilfrid's R C Primary Sch.	£601,475.01	£598,000.00	0.58%
Heworth Grange Comprehensive	£5,615,658.70	£5,553,000.00	1.13%
Kingsmeadow Community Comprehensive School	£4,280,049.68	£4,133,000.00	3.56%
Kibblesworth Academy	£624,270.40	£628,000.00	-0.59%
RIVERSIDE PRIMARY ACADEMY	£980,274.38	£984,000.00	-0.38%
Sacred Heart Catholic Primary School	£652,490.77	£657,000.00	-0.69%
Thomas Hepburn Community Academy	£2,990,704.29	£2,940,000.00	1.72%
Thorp Academy	£4,137,766.50	£4,123,000.00	0.36%
Lord Lawson of Beamish Academy	£7,133,438.13	£7,080,000.00	0.75%
WHICKHAM COMPREHENSIVE SCHOOL	£6,124,501.45	£6,069,000.00	0.91%
Joseph Swan Academy	£4,820,913.32	£4,769,000.00	1.09%
Cardinal Hume Catholic School	£5,705,010.06	£5,648,000.00	1.01%
St Thomas More School	£5,587,999.14	£5,532,000.00	1.01%

**TITLE OF REPORT: Schools Funding Formula Review – Consultation Document and Process**

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## **Purpose of the Report**

To enable Schools Forum to comment and have input into the proposed formula, consultation document and timetable on the Schools Funding Formula Review.

## **Background**

The DfE have introduced a national funding formula (NFF) to Local Authorities (LAs) for the Schools Block (SB), High Needs Block (HNB) and Central Schools Services Block (CSSB) from 2018/19. A NFF for the Early Years Block (EYB) was introduced in April 2017. There will be a transition period for mainstream schools for at least 2 years when LAs will still have a role in setting a local mainstream schools formula before the implementation of a hard NFF directly calculated by the Department for Education (DfE).

With the implementation of a NFF to LA's and the increase of funding of at least 0.5% per pupil for all mainstream schools, a mainstream formula review was required to review Gateshead's current formula and help schools transition to the new NFF.

## **Consultation**

The Schools and Early Years Finance Regulations and DfE Operational Guidance require LAs to consult with their schools on any proposed changes to local school funding formulas.

When the basis upon which funding is allocated to schools through the formula is changed, it is inevitable that this will result in a redistribution of funding amongst schools. The purpose of this consultation paper is to seek the views of mainstream schools on all these proposals, and whether these proposals are right for all pupils in Gateshead given constraints set by the DfE.

It is hoped that following the review and ratification of the consultation document by Schools Forum, the document can be sent to all schools on the 8 December 2017. There will be a very short consultation period to ensure that Gateshead can comply with the Schools and Early Years Finance Regulations and the timescales set by the DfE.

Any suggestions or questions would be welcomed prior to the Schools Forum meeting if applicable.

## **Recommendations**

That Schools Forum

- Review the attached consultation document
- Review the information contained within the document for clarity
- Depending upon the outcomes of discussions on earlier papers either agree the attached consultation document or suggest changes that can be made within the very tight timescale required.

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**CONTACT: Carole Smith Ext. 2747**

# **Gateshead Metropolitan Borough Council**

**EDUCATION FUNDING FROM APRIL 2018**

**CONSULTATION PAPER**

## **INTRODUCTION**

**This consultation paper is for all Mainstream Schools including academies.**

In July and September 2017 Justine Greening (the Secretary of State for Education) made two announcements confirming the Department for Education (DfE) plans to introduce a new national funding formula (NFF) for schools funding to local authorities from April 2018 which includes an additional £1.3billion investment to schools.

The NFF model constructed by the DfE is based on a series of “national average funding levels” assigned to each formula factor in 2016-17 as determined by each individual Local Authority (LA), with some adjustments made to reflect national direction/priorities (i.e. national weighting towards specific additional educational needs (AEN) factors).

The new NFF model outlined above will then be used to determine the individual schools block total allocation for each LA in the country as part of the Dedicated Schools Grant (DSG).

The new NFF model to be introduced remains largely unchanged from the one outlined in the consultation documents launched in spring 2017; however does include a number of new items:

- At least a 0.5% increase per pupil for each school in 2018/19;
- At least £4,800 per secondary pupil and £3,500 per primary pupil by 2019/20, with a transitional amount of £4,600 and £3,300 respectively set as a step towards this in 2018/19; and
- The overall schools block funding provided to local authorities ring-fenced, with some limited flexibility to transfer up to 0.5% out of the schools block to any other block subject to Schools’ Forum or the Secretary of State’s approval.

The 2018/19 “indicative” schools block allocation for Gateshead (based on October 2016 pupil numbers) is £108,066,154 which is an increase of £2,536,441 as a result of the national funding formula.

The LA will remain responsible for administering Gateshead’s own local funding formula model for 2018-19 and 2019-20 (referred to as the “Soft” NFF approach) ahead of the current planned full implementation of the “Hard” formula in 2020-21 (subject to Parliamentary Assent).

The DfE have issued a number of documents relating to this announcement including illustrative allocations on both an LA level and individual school level. Although no formula changes have been prescribed by the DfE for 2018/19 for local formulas, Schools Forum took the decision to set up a Sub-group of Schools Forum review the Mainstream Funding Formula for 2018/19 to transition Gateshead schools with as little turbulence as possible to the NFF.

A summary of the changes announced over the summer relating to the calculation of LA’s SB DSG allocations are listed below:-

- Soft NFF for mainstream schools for 2018/19 and 2019/20 to aid transition
- 3% funding cap on gains for 2018/19 and 2019/20
- Minimum funding levels have been set for both primary and secondary pupils.
- The primary minimum funding level is £3,300 for 2018/19 and £3,600 for 2019/20.
- The secondary minimum funding level is £4,600 for 2018/19 and £4,800 for 2019/20.
- All AWPU values have been increased from the consultation proposals to



- Primary an increase of £35 per pupil from £2,712 to £2,747
- KS3 an increase of £66 from £3,797 to £3,863
- KS4 an increase of £74 from £4,312 to £4,386
- Decrease in Free School Meals (FSM) current and ever6 funding
- Primary Current FSM decreased by £100 from £540 to £440
- Primary ever6 FSM decreased by £440 from £980 to £540
- Secondary Current FSM decreased by £345 from £785 to £440
- Secondary **ever6** FSM decreased by £470 from £1,255 to £785
- An increase in Income Deprivation Affecting Children Indices (IDACI) band C to differentiate the funding from band D, as Band C has a higher proportion of deprived children than band D.
- Primary IDACI band C increased by £30 from £360 to £390
- Secondary band C increased by £45 from £515 to 560
- The primary secondary ratio for the NFF will be approximately 1:1.29 for England as a whole; however this will vary at a local level depending on the distribution of funding to individual schools.
- All premises factors will be funded on the same level as 2017/18 allocations apart from Private Finance Initiative (PFI) funding which will increase in line with Retail Price Index, Excluding Mortgage Interest (RPIX) on an annual basis.

Changes announced that will directly affect schools:-

- Pupil Premium Plus for looked after children (LAC) will be increased from £1,900 to £2,300. The increase represents the total amount allocated to LAC in 2017/18 formula and this is rather than include a LAC factor in the 2018/19 NFF.
- Children with Special Educational Needs (SEN) who are educated in Additionally Resources Mainstream Schools (ARMS) will now receive mainstream funding as allocated by the formula together with a £6,000 place allocation from the HNB. Empty places will continue to be funded at £10,000 per place from the HNB (this will also make the comparisons between years for schools with ARMS).

Further information is set out in the papers below, which are available on the below links

Schools Revenue Funding 2018 to 2018 Operational Guidance, DSG Funding Basslines

<https://www.gov.uk/guidance/pre-16-schools-funding-guidance-for-2018-to-2019>

National Funding Formula for Schools and High Needs summary and National Funding Formula for Schools and High Needs

<https://www.gov.uk/government/publications/national-funding-formula-for-schools-and-high-needs>

NFF Summary table, Impact of the Schools NFF, Impact of the high needs NFF, Impact of the central schools services block and technical notes for the three blocks.

<https://www.gov.uk/government/publications/national-funding-formula-tables-for-schools-and-high-needs>

Schools Forum on 2 November approved a number of principles, funding aims and timeline proposed by the sub group:-

## Principles

- An affordable equitable and transparent mainstream school funding formula
- A formula that will focus only on mainstream school age range – not taking other age ranges into account (e.g. post 16 or 2, 3 & 4 year olds)
- A formula that where possible prepares schools for the National Funding Formula (NFF) and moves Gateshead's formula in the direction of travel towards the NFF

## Funding Aims

- Phase the changes required over the next 2 funding periods
- 1:129 primary secondary ratios (for every £1 of funding to primary schools secondary schools receive £1.29)
- 3% funding gains cap (schools can only keep gains of up to 3% of their total budget per pupil in comparison to 2017/18)
- Positive Minimum Funding Guarantee (MFG) if possible (all schools to receive a small amount of additional funding of up to 0.5% per pupil)
- Minimise the value of MFG

## Timeline

The following timeline is required to meet the DfE timescales on the submission of the APT in January 2018:

- Subgroup work and initial proposals to Schools Forum 2 November
- Subgroup meeting week commencing 13 November
- Final proposals for Schools Forum 7 December
- Consultation with all schools 8 to 15 December
- Consultation outcome and Formula Schools Forum special meeting 19 December
- Schools Forum approval 11 January
- Portfolio approval 15 January
- Submission to DfE 19 Jan 2018
- Mainstream schools informed of their budgets by 28 February 2018

At the same meeting it was proposed and agreed that Gateshead would aim to transition to the NFF over the next two funding periods of 2018/19 and 2019/20 during the “soft” NFF phase, which included a phasing of both increasing and decreasing factors.

The below table shows a comparison between Gateshead's formula for 2017/18 and the NNF rates.

There is no comparison for National Non-Domestic Rates (NNDR) and Private Finance Initiative (PFI) as both will be funded at historic cost, with PFI funding uplifted annually by retail price index all items excluding mortgage interest (RPIX).

						Difference	Difference
1) Basic Entitlement Age Weighted Pupil Unit (AWPU)	Description	Amount per pupil Gateshead		Amount per pupil NFF		AWPU	
	Primary (Years R-6)	2,905.00		2,746.99		-158.01	
	Key Stage 3 (Years 7-9)	3,750.00		3,862.65		112.65	
	Key Stage 4 (Years 10-11)	4,360.00		4,385.81		25.81	
		Primary amount per pupil	Secondary amount per pupil	Primary amount per pupil	Secondary amount per pupil	Primary amount per pupil	Secondary amount per pupil
2) Deprivation	Current FSM	0.00	0.00	440.00	440.00	440.00	440.00
	FSM6 % Primary	850.00		540.00		-310.00	
	FSM6 % Secondary		1,400.00		785.00	0.00	-615.00
	IDACI Band F	0.00	0.00	200.00	290.00	200.00	290.00
	IDACI Band E	0.00	0.00	240.00	390.00	240.00	390.00
	IDACI Band D	347.75	416.24	360.00	515.00	12.25	98.76
	IDACI Band C	401.25	480.28	390.00	560.00	-11.25	79.72
	IDACI Band B	481.50	576.33	420.00	600.00	-61.50	23.67
IDACI Band A	802.50	960.55	575.00	810.00	-227.50	-150.55	
		Primary amount per pupil	Secondary amount per pupil	Primary amount per pupil	Secondary amount per pupil	Primary amount per pupil	Secondary amount per pupil
3) Looked After Children (LAC)							
4) English as an Additional Language (EAL)	LAC X March 16	1,500.00		0.00		-1,500.00	-1,500.00
	EAL 3 Primary	260.00		515.00		255.00	
5) Mobility	EAL 3 Secondary		260.00		1,385.00		1,125.00
	Pupils starting school outside of normal entry dates	2,000.00	2,000.00	Cash Lump Sum £193,00 Historic Funding		0.00	0.00
6) Prior attainment	Description	Weighting	Amount per pupil	Weighting	Amount per pupil	Weighting	Amount per pupil
	Low Attainment % new EFSP	0.70	320.00	1.00	1,050.00	0.30	730.00
	Low Attainment % old FSP 73						
	Secondary low attainment (year 7)	0.48	550.00	0.48	1,385.00	0.00	835.00
Secondary low attainment (years 8 to 11)							
Other Factors							
Factor		Lump Sum per Primary School (£)	Lump Sum per Secondary School (£)	Lump Sum per Primary School (£)	Lump Sum per Secondary School (£)	Lump Sum per Primary School (£)	Lump Sum per Secondary School (£)
7) Lump Sum		115,000.00	140,000.00	110,000.00	110,000.00	-5,000.00	-30,000.00

This consultation paper sets out the proposals from the review undertaken by the Schools Forum Sub-group, all mainstream schools are encouraged to read this consultation paper carefully and respond to the questions on the attached consultation response form.

The October schools census will continue to be the basis for the mainstream schools main data collection tool used by the DfE to calculate the SB of the DSG.

The purpose of this consultation paper is to seek the views of schools on all of these proposals, and whether these changes are the right changes for all the pupils in Gateshead.

The overall approach on modelling the proposals was to first of all move all factors whether increasing or decreasing by one third towards the NFF. As there was funding still available to allocate, the approach was then taken to leave all reducing values at a one third reduction moving towards the NFF and increase other values were possible, (detailed below).

Detailed analysis has been undertaken on the potential impact of these proposals and a number of comparisons have been provided on the basis of these proposals being implemented for 2017/18. The proposed formula included updated Rates and PFI estimates to ensure as far as possible that the proposed formula is as realistic as possible and therefore is affordable.

- Comparison 1 – Gateshead's current funding formula v NFF
- Comparison 2 – Gateshead's funding current formula v proposed formula
- Comparison 3 – NFF v proposed formula

Premises data has been updated in comparison 2 and 3. As the per pupil minimum funding guarantee calculation does not take account of premises data this can mask the 0.5%

However, please remember that these can only be indicative values based on current data and the current cost base, and that there could be will changes to the proposed funding values once the actual data set and funding envelope become available, i.e. our actual Dedicated Schools Grant allocation from the DfE.

## **FUNDING OF PUPILS IN MAINSTREAM SCHOOLS**

Any reductions in per pupil funding will be protected by the Minimum Funding Guarantee (MFG) as set out below. Schools should also remember that the aggregate effect of each individual proposal on the 2018/19 budgets as included within comparisons 2 and 3 is indicative and does not take into account pupil and data changes. The comparisons have been calculated using the same data as 2017/18 funding allocations and therefore reflects what schools would have received if the formula had been in place this current financial year, and therefore is for illustrative purposes only.

It is appreciated that, for some schools the indicative changes in funding are significant, not only in cash terms but also as a percentage of their overall budget. Whereas the MFG per pupil will provide a short-term protection, schools will have to develop longer term solutions as we move towards the NFF.

Schools should be aware that these proposals are based upon the latest information available, and the formula values that have been quoted are based on the latest data set provided by the DfE (Free School Meal eligibility, LAC and IDACI data etc.), but the actual values to be used in 2018/19 APT will be different when updated data from the DfE is provided, together with the DSG settlement from the due mid December 2017.

### **Minimum Funding Guarantee**

The MFG value of between -1.5% and 0% has been set by the DfE, who have only guaranteed that the MFG will exist for 2018/19 and 2019/20. Gateshead has submitted an application to disapply the Schools and Early Years Finance Regulations to have a positive MFG of up to 0.5% in line with the funding aims agreed by Schools Forum above.

Premises data has been updated in comparison 2 and 3. As the per pupil MFG calculation does not take account of premises data this can mask the 0.5% positive MFG if premises related funding and the lump sum have decreased.

**Q1 Do you accept the proposal to set a positive MFG value of up to 0.5% per pupil?**

### **Scaling factor and Gains Cap**

In order to make the new formula affordable the scaling factor which dictates how much of a schools gains are taken into consideration for the allocation factor. It is proposed that the scaling factor remain at 100%, and ceilings for all gains capped at 3%. This means that any school that gains through the revised formula has 100% of their budget taken into account with the ceiling cap of 3% applied to the percentage of their budget the school can keep as a gain. The DfE have stipulated that to value of the gains withheld via capping deductions must be less than the amount of MFG funding required protecting schools with reduced budgets. These proposals are in line with the NFF that will fund local authorities.

**Q2 Do you accept the scaling factor of 100%**

**Q3 Do you accept the capping of gains at 3%**

**AWPU (Age Weighted Pupil Unit)**

The DfE prescribed minimum level of funding for primary phase is £2,000. Gateshead's current primary AWPU is £2,905 which is £158.01 greater than the NFF primary AWPU. Therefore the proposal is to reduce the primary AWPU by £52.67 which is one third of the difference between the current primary AWPU and the NFF funding level.

The DfE prescribed minimum level of funding for KS3 and KS4 is £3,000. Gateshead's current KS3 AWPU is £3,750 which is £112.65 less than the NFF KS3 AWPU. A one third increase in funding would have taken KS3 AWPU to £3,787.55, however during modelling it was found that it was affordable to increase the KS3 AWPU to £3,825.10 a two thirds increase of £75.10.

A one third increase in funding would have taken KS4 AWPU to £4,368.60; however during modelling it was found that it was affordable to increase the KS4 AWPU to the NFF factor value of £4,385.81 an increase of £25.81.

Below is a summary of the proposed changes.

Key Stage	Current AWPU	NFF AWPU	1/3 Movement	Proposed AWPU
Primary	£2,905.00	£2,746.99	£2,852.33	£2,852.33
KS3	£3,750.00	£3,862.65	£3,787.55	£3,825.10
KS4	£4,360.00	£4,385.81	£4,368.60	£4,385.81

**Q4 Do you accept the proposed change to Primary AWPU rate?**

**Q5 Do you accept the proposed change to KS3 AWPU rate?**

**Q6 Do you accept the proposed change to KS4 AWPU rate?**

**Deprivation Funding - Free School Meals**

It is the first time that both Current Free School Meals (FSM) and Ever6 FSM have both been able to be selected and funded. Previously it has been either one or the other.

It is proposed that both Current and Ever6 FSM factors are used to reflect the increased cost of providing a free meal to eligible children, (the funding equates to £2.32 for 190 days). As Gateshead had not used the current FSM factor previously a one third increase would have been £146.67 for both primary and secondary pupils. However during modelling it was found that it was affordable to increase this factor to the NFF factor value of £440.

**Q7 Do you accept the inclusion of a Current FSM factor in Gateshead’s proposed Mainstream Schools Funding Formula?**

**Q8 Do you accept the Current FSM proposed funding rate of £440 for both primary and secondary pupils?**

The current funding level for Ever6 FSM is higher in Gateshead’s formula for both primary and secondary schools than the NFF. The proposal is therefore to reduce both primary and secondary Ever6 FSM by one third of the reduction of £103.33 from £850 to £746.67 for primary and £205 from £1,400 to £1,195 for secondary schools.

**Q9 Do you accept the reduction in primary school Ever6 FSM funding of £103.33 from £850 to £746.67?**

**Q10 Do you accept the reduction in secondary school Ever6 FSM funding of £205 from £1,400 to £1,195?**

**Deprivation Funding – Income Deprivation Affecting Children Indices (IDACI)**

IDACI is a subset of the Indices of Deprivation concerned with income deprivation among children. This is a ranking based on the percentage of children aged 0 - 15 in each lower super output area (LSOA) living in families that are income deprived.

The below table shows the percentage of deprived pupils living in the LSOA.

IDACI Band	% of Deprived Pupils
G (not funded)	0% to 20%
F	20% to 25%
E	25% to 30%
D	30% to 35%
C	30% to 40%
B	40% to 50%
A	50% to 100%

The same approach as other factors was taken to move all primary IDACI bandings one third towards the NFF, as funding was still available to distribute, bands that would see increasing funding under the NFF were funded at two thirds (D, E and F) the other bands were left at one third as they are decreasing under the NFF.

Deprivation Factor	Primary			
	Current	NFF	One Third	Proposed
IDACI F	£0.00	£200.00	£66.67	£133.33
IDACI E	£0.00	£240.00	£80.00	£160.00
IDACI D	£347.75	£360.00	£351.82	£355.92
IDACI C	£401.25	£390.00	£397.50	£397.50
IDACI B	£481.50	£420.00	£461.00	£461.00
IDACI A	£802.50	£575.00	£726.67	£726.67

For Secondary IDACI bandings, only band A will see a reduction in funding under the NFF, so this banding only moved one third towards the NFF, all other bandings moved two thirds towards the NFF factor values.

Deprivation Factor	Secondary			
	Current	NFF	One Third	Proposed
IDACI F	£0.00	£290.00	£96.67	£193.33
IDACI E	£0.00	£390.00	£130.00	£260.00
IDACI D	£416.24	£515.00	£449.16	£482.08
IDACI C	£480.28	£560.00	£506.85	£533.43
IDACI B	£576.33	£600.00	£584.22	£592.11
IDACI A	£960.55	£810.00	£910.37	£910.37

**Q11 Do you accept the proposed factor values for Primary IDACI Funding?**

**Q12 Do you accept the proposed factor values for Secondary IDACI funding?**

### **Looked After Children (LAC)**

The NFF does not have a LAC factor. LA's can still choose to use this factor during the two transition years to the full school level implementation of the NFF. It is proposed that funding for this factor be reduced phased out over the next two years.

Phase	Current	Proposed
Primary	£1,500.00	£1,000.00
Secondary	£1,500.00	£1,000.00

**Q13 Do you accept the proposed factor value to the Looked After Children factor value?**

### **English as an Additional Language (EAL)**

The EAL factor under the NFF has greatly increased compared to Gateshead's current formula. Again after moving the factor rates for both primary and secondary by one third towards the NFF, there was funding available to move the factors two thirds towards the NFF.

Phase	Current	NFF	One Third	Proposed
Primary	£260.00	£515.00	£345.00	£430.00
Secondary	£260.00	£1,385.00	£635.00	£1010.00

**Q14 Do you accept that the proposed change to the EAL factors?**

### **Mobility Factor**

Under the NFF the mobility factor is funded at an historic lump sum of £193,000. It is proposed that this funding is fully allocated to all mobile pupils. Schools will only receive mobile pupil funding for numbers above the DfE threshold of 10%. That is if a school has a population of 100 pupils if 10 pupils started on a nonstandard date the school would not receive any mobility funding. If 12 pupils started on a nonstandard date then the school would receive mobility funding for 2 pupils. Depending on the new mobile pupil numbers funding will either increase or decrease on a per pupil basis.

Phase	Current	NFF	Proposed
Primary	£2,000.00	£2,000.00	£2,000.00
Secondary	£2,000.00	£2,000.00	£2,000.00

**Q15 Do you accept the proposal that the mobility factor funding should be fully allocated to all qualifying mobile pupils?**

### **Low Prior Attainment**

The Early Years Foundation Stage Profile (EYFSP) is the mechanism used to assess primary low prior attainment, for secondary schools it is the KS2 SATs results. The NFF factor values for low prior attainment for both primary and secondary schools are much greater than the Gateshead's current factor values. Therefore it is proposed that this factor move one third towards the NFF. During modelling a move to two thirds was not affordable.

Phase	Current	NFF	One Third	Proposed
Primary	£320.00	£1,050.00	£563.33	£563.33
Secondary	£550.00	£1,385.00	£828.33	£828.33

**Q16 Do you accept the proposed factor values for Primary Low Prior Attainment?**

**Q17 Do you accept the proposed factor values for Secondary Low Prior Attainment?**

### **Lump Sum**

The purpose of a lump sum is to provide a basic level of funding to all schools, irrespective of size, to meet the basic costs of running any school, with the additional costs of running larger schools being met through the additional funding delivered via the AWPU. Under the regulations there is an upper limit of £175,000, and the lump sum can vary by phase. Gateshead's current lump sum is differentiated by phase and is higher for both primary and secondary schools than the NFF factor value. As both phases will receive reduced funding under this factor it is proposed to move the factor one third towards the NFF.

Phase	Current	NFF	One Third	Proposed
Primary	£115,000.00	£110,000.00	£113,333.33	£113,333.33
Secondary	£140,000.00	£110,000.00	£130,000.00	£130,000.00

**Q18. Do you accept the proposed change Primary Lump Sum value?**

**Q19. Do you accept the proposed change Primary Lump Sum value?**

### **Sparsity Factor**

This is a factor to help rural schools; however under the conditions of this factor no schools in Gateshead meet the criteria therefore, Gateshead will not receive any funding under the NFF for this factor and therefore, it is proposed that the factor is not included in the transitional formula.

**Q20 Do you agree with the proposal not to include a sparsity factor in Gateshead's formula?**



## **Rates**

Rates under the NFF will be funded at historic cost. This could be a funding pressure on future years due to rates revaluations, the changes the reduction from 100% rates relief to 80% rates relief for voluntary aided and voluntary controlled schools. It is proposed that schools will be funded on the best estimate of 2018/19 rates, and any adjustments will be made the following year. This is the current process.

### **Q21 Do you accept the funding mechanism for Rates?**

## **Private Finance Initiative (PFI)**

Under the NFF LAs will be funded at historic cost plus an increase based on Retail Price Index excluding mortgages (PRIX). There is no proposal to change the funding methodology for PFI schools.

## **Minimum per Pupil Funding**

The NFF includes a minimum per pupil funding factor, which sets a minimum per pupil funding any school will attract through the NFF. This minimum refers to the level of per pupil funding schools attract through the NFF. For 2018/19 these minimum funding levels are £3,300 for primary schools on a per pupil basis and £4,600 for secondary schools on a per pupil basis. It is proposed that Gateshead implements these minimum funding levels (excluding Rates and PFI funding) for 2018/19. During modelling this factor only allocated a small amount of funding to one primary school.

### **Q22 Do you accept the proposal to implement the minimum funding levels per pupil of £3,300 for primary pupils (excluding Rates and PFI funding)?**

### **Q23 Do you accept the proposal to implement the minimum funding levels per pupil of £4,600 for secondary pupils (excluding Rates and PFI funding)?**

## **Growth Fund**

In 2015/16 the mainstream schools block was top sliced by £100,000 to create a growth fund. The fund has been maintained at £100,000 by topping up the growth fund by top slicing the Schools Block of the DSG the year following any claims. The growth fund is administered by a procedure agreed by Schools Forum to provide funding for expanding schools to support basic education needs within the LA.

### **Q24 Do you agree the proposal top slice the mainstream schools block by £35,000 to maintain the growth fund at £100,000?**

## **Notional SEN Calculation**

In line with DfE guidance all mainstream schools must have a calculated notional SEN budget. For 2017/18 this was as a proportion of a number of factors as follows:-

Funding Factor	Primary Sector %	Secondary Sector %
AWPU	5%	5%
Deprivation	23%	19%
IDACI A and B	23%	19%
Low Prior Attainment	100%	100%

Due to time constraints, it is proposed:

- not to amend the notional SEN calculation for 2018/19
- wait further guidance from the DfE on the factors and the proportions that maybe used in the calculation of the notional SEN on a national level.

**Q25 Do you accept the proposal not to amend the notional SEN calculation?  
Comparison 1**

## Comparison 1 - School level data - Gateshead's current funding formula v NFF

School Name	Notional total NFF funding in 2018-19	2017 18 Arms Adjustment	% Change
	<b>£107,843,000</b>	<b>£105,601,300</b>	<b>2.12%</b>
Carr Hill Community Primary School	£1,387,000.00	£1,350,327.19	2.72%
Kelvin Grove Primary School	£1,311,000.00	£1,277,788.84	2.60%
South Street Primary School	£1,253,000.00	£1,221,221.42	2.60%
Bede Community Primary School	£939,000.00	£916,383.42	2.47%
Oakfield Junior School	£870,000.00	£866,617.61	0.39%
LARKSPUR COMMUNITY PRIMARY SCHOOL	£810,000.00	£790,680.01	2.44%
Oakfield Infant School	£677,000.00	£674,351.80	0.39%
Ravensworth Tce Primary School	£962,000.00	£951,268.76	1.13%
Portobello Primary School	£803,000.00	£784,783.16	2.32%
Birtley East Primary School	£942,000.00	£918,300.60	2.58%
Dunston Hill Community Primary	£1,631,000.00	£1,586,400.51	2.81%
Emmaville Primary School	£1,070,000.00	£1,065,519.55	0.42%
High Spen Primary School	£667,000.00	£658,136.04	1.35%
Swalwell Primary School	£847,000.00	£843,219.37	0.45%
Winlaton West Lane Primary Sch	£1,287,000.00	£1,253,196.44	2.70%
Greenside Primary School	£751,000.00	£747,483.73	0.47%
Blaydon West Primary School	£630,000.00	£627,453.74	0.41%
Front Street Community Primary	£1,511,000.00	£1,472,489.83	2.62%
Highfield Primary School	£625,000.00	£619,798.10	0.84%
Ryton Community Infant School	£490,000.00	£485,370.47	0.95%
Ryton Community Junior School	£728,000.00	£725,010.64	0.41%
Washingwell Community Primary School	£669,000.00	£652,794.61	2.48%
Bill Quay Primary School	£808,000.00	£788,562.59	2.46%
Falla Park Community Primary School	£1,000,000.00	£974,706.57	2.59%
Brandling Primary School	£643,000.00	£640,616.18	0.37%
Lingey House Primary School	£1,604,000.00	£1,563,015.89	2.62%
THE DRIVE PRIMARY SCHOOL	£820,000.00	£808,264.98	1.45%
White Mere Comm Primary School	£557,000.00	£544,743.89	2.25%
Clover Hill Primary School	£770,000.00	£751,451.00	2.47%
Crookhill Primary	£775,000.00	£757,691.39	2.28%
Brighton Avenue Primary School	£1,275,000.00	£1,266,185.39	0.70%
Lobley Hill Primary School	£1,470,000.00	£1,448,681.83	1.47%
Wardley Primary School	£1,096,000.00	£1,067,568.60	2.66%
Glynwood Primary School	£1,337,000.00	£1,301,540.11	2.72%
Barley Mow Primary School	£832,000.00	£825,975.00	0.73%
Windy Nook Primary School	£1,095,000.00	£1,066,807.54	2.64%
Colegate Primary	£800,000.00	£779,961.09	2.57%
Roman Road Primary School	£872,000.00	£855,483.15	1.93%
Fellside Primary School	£759,000.00	£755,517.28	0.46%
Fell Dyke Primary School	£1,348,000.00	£1,322,515.54	1.93%

Caedmon Community Primary	£931,000.00	£907,816.88	2.55%
Kells Lane Primary School	£1,408,000.00	£1,371,347.64	2.67%
Chopwell Primary	£939,000.00	£915,653.86	2.55%
Park Head Primary School	£1,268,000.00	£1,234,765.33	2.69%
St Aidan's CofE Primary School	£924,000.00	£900,163.81	2.65%
Harlow Green Primary School	£1,738,000.00	£1,689,938.97	2.84%
Rowlands Gill Primary School	£1,355,000.00	£1,319,044.25	2.73%
WHICKHAM PAROCHIAL PRIMARY SCH	£766,000.00	£761,589.34	0.58%
Corpus Christi Catholic School	£843,000.00	£821,232.53	2.65%
St Joseph's R C Primary School	£858,000.00	£836,258.00	2.60%
St. Oswald's RC (Aided) Primary	£810,000.00	£806,387.98	0.45%
St Peter's R C Primary School	£784,000.00	£764,186.19	2.59%
St Anne's Catholic Primary School	£553,000.00	£540,064.74	2.40%
St Joseph's Catholic Junior School	£503,000.00	£491,869.11	2.26%
Birtley St. Joseph's Catholic Infant School	£419,000.00	£411,086.39	1.93%
St Agnes' R C Primary School	£758,000.00	£752,961.76	0.67%
St Joseph's R C Sch Highfield	£521,000.00	£503,904.44	3.39%
St Mary & St Thomas Aquinas	£766,000.00	£757,940.16	1.06%
St Philip Neri R C Primary Sch	£682,000.00	£665,611.47	2.46%
ST JOSEPH'S CATHOLIC SCHOOL	£803,000.00	£782,603.27	2.61%
St Mary's R.C. Primary School	£770,000.00	£750,608.73	2.58%
St Alban's Catholic Primary School	£835,000.00	£814,188.26	2.56%
Felling St. Augustine's R.C.P	£1,174,000.00	£1,142,988.30	2.71%
St Wilfrid's R C Primary Sch.	£598,000.00	£595,607.64	0.40%
Heworth Grange Comprehensive	£5,553,000.00	£5,397,985.72	2.87%
Kingsmeadow Community Comprehensive School	£4,133,000.00	£4,014,487.63	2.95%
Kibblesworth Academy	£628,000.00	£621,734.23	1.01%
RIVERSIDE PRIMARY ACADEMY	£984,000.00	£961,393.22	2.35%
Sacred Heart Catholic Primary School	£657,000.00	£643,140.68	2.15%
Thomas Hepburn Community Academy	£2,940,000.00	£2,894,644.42	1.57%
Thorp Academy	£4,123,000.00	£4,035,779.81	2.16%
Lord Lawson of Beamish Academy	£7,080,000.00	£6,937,815.49	2.05%
WHICKHAM COMPREHENSIVE SCHOOL	£6,069,000.00	£5,923,514.23	2.46%
Joseph Swan Academy	£4,769,000.00	£4,664,245.63	2.25%
Cardinal Hume Catholic School	£5,648,000.00	£5,549,879.45	1.77%
St Thomas More School	£5,532,000.00	£5,410,977.09	2.24%

## Comparison 2 – School level data - Gateshead’s funding current formula v proposed formula

School Name	18-19 Post MFG Budget	17-18 Post MFG Budget	% Change
	<b>£108,045,008</b>	<b>£105,601,300</b>	2.31%
Carr Hill Community Primary School	£1,386,489.21	£1,350,327.19	2.68%
Kelvin Grove Primary School	£1,318,486.99	£1,277,788.84	3.19%
South Street Primary School	£1,249,859.94	£1,221,221.42	2.35%
Bede Community Primary School	£924,429.98	£916,383.42	0.88%
Oakfield Junior School	£862,318.90	£866,617.61	-0.50%
LARKSPUR COMMUNITY PRIMARY SCHOOL	£807,422.19	£790,680.01	2.12%
Oakfield Infant School	£670,408.67	£674,351.80	-0.58%
Ravensworth Tce Primary School	£972,973.73	£951,268.76	2.28%
Portobello Primary School	£786,825.54	£784,783.16	0.26%
Birtley East Primary School	£936,038.99	£918,300.60	1.93%
Dunston Hill Community Primary	£1,653,873.59	£1,586,400.51	4.25%
Emmaville Primary School	£1,067,639.78	£1,065,519.55	0.20%
High Spen Primary School	£664,368.32	£658,136.04	0.95%
Swalwell Primary School	£838,635.28	£843,219.37	-0.54%
Winlaton West Lane Primary Sch	£1,266,600.83	£1,253,196.44	1.07%
Greenside Primary School	£749,030.29	£747,483.73	0.21%
Blaydon West Primary School	£629,744.11	£627,453.74	0.37%
Front Street Community Primary	£1,482,544.89	£1,472,489.83	0.68%
Highfield Primary School	£624,305.96	£619,798.10	0.73%
Ryton Community Infant School	£486,435.27	£485,370.47	0.22%
Ryton Community Junior School	£720,132.48	£725,010.64	-0.67%
Washingwell Community Primary School	£654,138.57	£652,794.61	0.21%
Bill Quay Primary School	£795,165.67	£788,562.59	0.84%
Falla Park Community Primary School	£1,001,536.08	£974,706.57	2.75%
Brandling Primary School	£650,503.43	£640,616.18	1.54%
Lingey House Primary School	£1,582,561.28	£1,563,015.89	1.25%
THE DRIVE PRIMARY SCHOOL	£814,814.15	£808,264.98	0.81%
White Mere Comm Primary School	£544,683.99	£544,743.89	-0.01%
Clover Hill Primary School	£760,351.86	£751,451.00	1.18%
Crookhill Primary	£767,807.75	£757,691.39	1.34%
Brighton Avenue Primary School	£1,269,441.43	£1,266,185.39	0.26%
Lobley Hill Primary School	£1,463,147.98	£1,448,681.83	1.00%
Wardley Primary School	£1,090,941.80	£1,067,568.60	2.19%
Glynwood Primary School	£1,322,058.78	£1,301,540.11	1.58%
Barley Mow Primary School	£852,762.52	£825,975.00	3.24%
Windy Nook Primary School	£1,076,843.52	£1,066,807.54	0.94%
Colegate Primary	£799,569.71	£779,961.09	2.51%
Roman Road Primary School	£780,141.90	£855,483.15	-8.81%
Fellside Primary School	£752,502.98	£755,517.28	-0.40%
Fell Dyke Primary School	£1,341,427.55	£1,322,515.54	1.43%
Caedmon Community Primary	£924,064.00	£907,816.88	1.79%
Kells Lane Primary School	£1,388,601.48	£1,371,347.64	1.26%

Chopwell Primary	£943,782.82	£915,653.86	3.07%
Park Head Primary School	£1,260,876.85	£1,234,765.33	2.11%
St Aidan's CofE Primary School	£931,518.87	£900,163.81	3.48%
Harlow Green Primary School	£1,752,268.81	£1,689,938.97	3.69%
Rowlands Gill Primary School	£1,366,464.39	£1,319,044.25	3.60%
WHICKHAM PAROCHIAL PRIMARY SCH	£761,447.96	£761,589.34	-0.02%
Corpus Christi Catholic School	£843,321.85	£821,232.53	2.69%
St Joseph's R C Primary School	£861,922.56	£836,258.00	3.07%
St. Oswald's RC (Aided) Primary	£816,868.33	£806,387.98	1.30%
St Peter's R C Primary School	£769,600.46	£764,186.19	0.71%
St Anne's Catholic Primary School	£551,916.55	£540,064.74	2.19%
St Joseph's Catholic Junior School	£502,149.46	£491,869.11	2.09%
Birtley St. Joseph's Catholic Infant School	£417,995.31	£411,086.39	1.68%
St Agnes' R C Primary School	£758,943.90	£752,961.76	0.79%
St Joseph's R C Sch Highfield	£509,313.29	£503,904.44	1.07%
St Mary & St Thomas Aquinas	£765,862.92	£757,940.16	1.05%
St Philip Neri R C Primary Sch	£683,598.01	£665,611.47	2.70%
ST JOSEPH'S CATHOLIC SCHOOL	£797,957.41	£782,603.27	1.96%
St Mary's R.C. Primary School	£758,197.60	£750,608.73	1.01%
St Alban's Catholic Primary School	£831,829.96	£814,188.26	2.17%
Felling St. Augustine's R.C.P	£1,172,987.89	£1,142,988.30	2.62%
St Wilfrid's R C Primary Sch.	£601,475.01	£595,607.64	0.99%
Heworth Grange Comprehensive	£5,615,658.70	£5,397,985.72	4.03%
Kingsmeadow Community Comprehensive School	£4,280,049.68	£4,014,487.63	6.62%
Kibblesworth Academy	£624,270.40	£621,734.23	0.41%
RIVERSIDE PRIMARY ACADEMY	£980,274.38	£961,393.22	1.96%
Sacred Heart Catholic Primary School	£652,490.77	£643,140.68	1.45%
Thomas Hepburn Community Academy	£2,990,704.29	£2,894,644.42	3.32%
Thorp Academy	£4,137,766.50	£4,035,779.81	2.53%
Lord Lawson of Beamish Academy	£7,133,438.13	£6,937,815.49	2.82%
WHICKHAM COMPREHENSIVE SCHOOL	£6,124,501.45	£5,923,514.23	3.39%
Joseph Swan Academy	£4,820,913.32	£4,664,245.63	3.36%
Cardinal Hume Catholic School	£5,705,010.06	£5,549,879.45	2.80%
St Thomas More School	£5,587,999.14	£5,410,977.09	3.27%

### Comparison 3 – School Level data - NFF v proposed formula

School Name	18-19 Post MFG Budget	Notional total NFF funding in 2018-19	% Change
	<b>£108,045,008</b>	<b>£107,843,000</b>	0.19%
Carr Hill Community Primary School	£1,386,489.21	£1,387,000.00	-0.04%
Kelvin Grove Primary School	£1,318,486.99	£1,311,000.00	0.57%
South Street Primary School	£1,249,859.94	£1,253,000.00	-0.25%
Bede Community Primary School	£924,429.98	£939,000.00	-1.55%
Oakfield Junior School	£862,318.90	£870,000.00	-0.88%
LARKSPUR COMMUNITY PRIMARY SCHOOL	£807,422.19	£810,000.00	-0.32%
Oakfield Infant School	£670,408.67	£677,000.00	-0.97%
Ravensworth Tce Primary School	£972,973.73	£962,000.00	1.14%
Portobello Primary School	£786,825.54	£803,000.00	-2.01%
Birtley East Primary School	£936,038.99	£942,000.00	-0.63%
Dunston Hill Community Primary	£1,653,873.59	£1,631,000.00	1.40%
Emmaville Primary School	£1,067,639.78	£1,070,000.00	-0.22%
High Spen Primary School	£664,368.32	£667,000.00	-0.39%
Swalwell Primary School	£838,635.28	£847,000.00	-0.99%
Winlaton West Lane Primary Sch	£1,266,600.83	£1,287,000.00	-1.59%
Greenside Primary School	£749,030.29	£751,000.00	-0.26%
Blaydon West Primary School	£629,744.11	£630,000.00	-0.04%
Front Street Community Primary	£1,482,544.89	£1,511,000.00	-1.88%
Highfield Primary School	£624,305.96	£625,000.00	-0.11%
Ryton Community Infant School	£486,435.27	£490,000.00	-0.73%
Ryton Community Junior School	£720,132.48	£728,000.00	-1.08%
Washingwell Community Primary School	£654,138.57	£669,000.00	-2.22%
Bill Quay Primary School	£795,165.67	£808,000.00	-1.59%
Falla Park Community Primary School	£1,001,536.08	£1,000,000.00	0.15%
Brandling Primary School	£650,503.43	£643,000.00	1.17%
Lingey House Primary School	£1,582,561.28	£1,604,000.00	-1.34%
THE DRIVE PRIMARY SCHOOL	£814,814.15	£820,000.00	-0.63%
White Mere Comm Primary School	£544,683.99	£557,000.00	-2.21%
Clover Hill Primary School	£760,351.86	£770,000.00	-1.25%
Crookhill Primary	£767,807.75	£775,000.00	-0.93%
Brighton Avenue Primary School	£1,269,441.43	£1,275,000.00	-0.44%
Lobley Hill Primary School	£1,463,147.98	£1,470,000.00	-0.47%
Wardley Primary School	£1,090,941.80	£1,096,000.00	-0.46%
Glynwood Primary School	£1,322,058.78	£1,337,000.00	-1.12%
Barley Mow Primary School	£852,762.52	£832,000.00	2.50%
Windy Nook Primary School	£1,076,843.52	£1,095,000.00	-1.66%
Colegate Primary	£799,569.71	£800,000.00	-0.05%
Roman Road Primary School	£780,141.90	£872,000.00	-10.53%
Fellside Primary School	£752,502.98	£759,000.00	-0.86%
Fell Dyke Primary School	£1,341,427.55	£1,348,000.00	-0.49%
Caedmon Community Primary	£924,064.00	£931,000.00	-0.75%

Kells Lane Primary School	£1,388,601.48	£1,408,000.00	-1.38%
Chopwell Primary	£943,782.82	£939,000.00	0.51%
Park Head Primary School	£1,260,876.85	£1,268,000.00	-0.56%
St Aidan's CofE Primary School	£931,518.87	£924,000.00	0.81%
Harlow Green Primary School	£1,752,268.81	£1,738,000.00	0.82%
Rowlands Gill Primary School	£1,366,464.39	£1,355,000.00	0.85%
WHICKHAM PAROCHIAL PRIMARY SCH	£761,447.96	£766,000.00	-0.59%
Corpus Christi Catholic School	£843,321.85	£843,000.00	0.04%
St Joseph's R C Primary School	£861,922.56	£858,000.00	0.46%
St. Oswald's RC (Aided) Primary	£816,868.33	£810,000.00	0.85%
St Peter's R C Primary School	£769,600.46	£784,000.00	-1.84%
St Anne's Catholic Primary School	£551,916.55	£553,000.00	-0.20%
St Joseph's Catholic Junior School	£502,149.46	£503,000.00	-0.17%
Birtley St. Joseph's Catholic Infant School	£417,995.31	£419,000.00	-0.24%
St Agnes' R C Primary School	£758,943.90	£758,000.00	0.12%
St Joseph's R C Sch Highfield	£509,313.29	£521,000.00	-2.24%
St Mary & St Thomas Aquinas	£765,862.92	£766,000.00	-0.02%
St Philip Neri R C Primary Sch	£683,598.01	£682,000.00	0.23%
ST JOSEPH'S CATHOLIC SCHOOL	£797,957.41	£803,000.00	-0.63%
St Mary's R.C. Primary School	£758,197.60	£770,000.00	-1.53%
St Alban's Catholic Primary School	£831,829.96	£835,000.00	-0.38%
Felling St. Augustine's R.C.P	£1,172,987.89	£1,174,000.00	-0.09%
St Wilfrid's R C Primary Sch.	£601,475.01	£598,000.00	0.58%
Heworth Grange Comprehensive	£5,615,658.70	£5,553,000.00	1.13%
Kingsmeadow Community Comprehensive School	£4,280,049.68	£4,133,000.00	3.56%
Kibblesworth Academy	£624,270.40	£628,000.00	-0.59%
RIVERSIDE PRIMARY ACADEMY	£980,274.38	£984,000.00	-0.38%
Sacred Heart Catholic Primary School	£652,490.77	£657,000.00	-0.69%
Thomas Hepburn Community Academy	£2,990,704.29	£2,940,000.00	1.72%
Thorp Academy	£4,137,766.50	£4,123,000.00	0.36%
Lord Lawson of Beamish Academy	£7,133,438.13	£7,080,000.00	0.75%
WHICKHAM COMPREHENSIVE SCHOOL	£6,124,501.45	£6,069,000.00	0.91%
Joseph Swan Academy	£4,820,913.32	£4,769,000.00	1.09%
Cardinal Hume Catholic School	£5,705,010.06	£5,648,000.00	1.01%
St Thomas More School	£5,587,999.14	£5,532,000.00	1.01%



# Consultation Response Form

## Formula for Funding All Mainstream Schools April 2018

The below section must be completed, and only one consultation response will be accepted from each school.

***Return deadline 15 December 2017***

<b>Name</b>	
<b>Organisation</b>	
<b>Role</b>	

Minimum Funding Guarantee (MFG)	
<b>Q1 Do you accept the proposal to set a positive MFG value of up to 0.5% per pupil?</b>	
Yes	<input type="checkbox"/>
Don't Know	<input type="checkbox"/>
No	<input type="checkbox"/>
<b>Comments</b>	

Scaling factor and Gains Cap	
<b>Q2 Do you accept the scaling factor of 100%</b>	
Yes	<input type="checkbox"/>
Don't Know	<input type="checkbox"/>
No	<input type="checkbox"/>
<b>Comments</b>	

Scaling factor and Gains Cap	
<b>Q3 Do you accept the capping of gains at 3%</b>	
Yes	<input type="checkbox"/>
Don't Know	<input type="checkbox"/>
No	<input type="checkbox"/>
<b>Comments</b>	

<b>AWPU (Age Weighted Pupil Unit)</b>	
<b>Q4 Do you accept the proposed change to Primary AWPU rate?</b>	
Yes	<input type="checkbox"/>
Don't Know	<input type="checkbox"/>
No	<input type="checkbox"/>
<b>Comments</b>	

<b>AWPU (Age Weighted Pupil Unit)</b>	
<b>Q5 Do you accept the proposed change to KS3 AWPU rate?</b>	
Yes	<input type="checkbox"/>
Don't Know	<input type="checkbox"/>
No	<input type="checkbox"/>
<b>Comments</b>	

<b>AWPU (Age Weighted Pupil Unit)</b>	
<b>Q6 Do you accept the proposed change to KS4 AWPU rate?</b>	
Yes	<input type="checkbox"/>
Don't Know	<input type="checkbox"/>
No	<input type="checkbox"/>
<b>Comments</b>	

<b>Deprivation Funding - Free School Meals</b>	
<b>Q7 Do you accept the inclusion of a Current FSM factor in Gateshead's proposed Mainstream Schools Funding Formula?</b>	
Yes	<input type="checkbox"/>
Don't Know	<input type="checkbox"/>
No	<input type="checkbox"/>
<b>Comments</b>	

Deprivation Funding - Free School Meals	
<b>Q8 Do you accept the Current FSM proposed funding rate of £440 for both primary and secondary pupils?</b>	
Yes	<input type="checkbox"/>
Don't Know	<input type="checkbox"/>
No	<input type="checkbox"/>
<b>Comments</b>	

Deprivation Funding - Free School Meals	
<b>Q9 Do you accept the reduction in primary school Ever6 FSM funding of £103.33 from £850 to £746.67?</b>	
Yes	<input type="checkbox"/>
Don't Know	<input type="checkbox"/>
No	<input type="checkbox"/>
<b>Comments</b>	

Deprivation Funding - Free School Meals	
<b>Q10 Do you accept the reduction in secondary school Ever6 FSM funding of £205 from £1,400 to £1,195?</b>	
Yes	<input type="checkbox"/>
Don't Know	<input type="checkbox"/>
No	<input type="checkbox"/>
<b>Comments</b>	

Deprivation Funding – Income Deprivation Affecting Children Indices (IDACI)	
<b>Q11 Do you accept the proposed factor values for Primary IDACI Funding?</b>	
Yes	<input type="checkbox"/>
Don't Know	<input type="checkbox"/>
No	<input type="checkbox"/>
<b>Comments</b>	

<b>Deprivation Funding – Income Deprivation Affecting Children Indices (IDACI)</b>	
<b>Q12 Do you accept the proposed factor values for Secondary IDACI funding?</b>	
Yes	<input type="checkbox"/>
Don't Know	<input type="checkbox"/>
No	<input type="checkbox"/>
<b>Comments</b>	

<b>Looked After Children (LAC)</b>	
<b>Q13 Do you accept the proposed factor value to the Looked After Children factor value?</b>	
Yes	<input type="checkbox"/>
Don't Know	<input type="checkbox"/>
No	<input type="checkbox"/>
<b>Comments</b>	

<b>English as an Additional Language (EAL)</b>	
<b>Q14 Do you accept that the proposed change to the EAL factors?</b>	
Yes	<input type="checkbox"/>
Don't Know	<input type="checkbox"/>
No	<input type="checkbox"/>
<b>Comments</b>	

<b>Mobility Factor</b>	
<b>Q15 Do you accept the proposal that the mobility factor funding should be fully allocated to all qualifying mobile pupils?</b>	
Yes	<input type="checkbox"/>
Don't Know	<input type="checkbox"/>
No	<input type="checkbox"/>
<b>Comments</b>	

Low Prior Attainment	
<b>Q16 Do you accept the proposed factor values for Primary Low Prior Attainment?</b>	
Yes	<input type="checkbox"/>
Don't Know	<input type="checkbox"/>
No	<input type="checkbox"/>
<b>Comments</b>	

Low Prior Attainment	
<b>Q17 Do you accept the proposed factor values for Secondary Low Prior Attainment?</b>	
Yes	<input type="checkbox"/>
Don't Know	<input type="checkbox"/>
No	<input type="checkbox"/>
<b>Comments</b>	

Lump Sum	
<b>Q18. Do you accept the proposed change Primary Lump Sum value?</b>	
Yes	<input type="checkbox"/>
Don't Know	<input type="checkbox"/>
No	<input type="checkbox"/>
<b>Comments</b>	

Lump Sum	
<b>Q19. Do you accept the proposed change Primary Lump Sum value?</b>	
Yes	<input type="checkbox"/>
Don't Know	<input type="checkbox"/>
No	<input type="checkbox"/>
<b>Comments</b>	

<b>Sparsity Factor</b>	
<b>Q20 Do you agree with the proposal not to include a sparsity factor in Gateshead's formula?</b>	
Yes	<input type="checkbox"/>
Don't Know	<input type="checkbox"/>
No	<input type="checkbox"/>
<b>Comments</b>	

<b>Rates</b>	
<b>Q21 Do you accept the funding mechanism for Rates?</b>	
Yes	<input type="checkbox"/>
Don't Know	<input type="checkbox"/>
No	<input type="checkbox"/>
<b>Comments</b>	

<b>Minimum per Pupil Funding</b>	
<b>Q22 Do you accept the proposal to implement the minimum funding levels per pupil of £3,300 for primary pupils (excluding Rates and PFI funding)?</b>	
Yes	<input type="checkbox"/>
Don't Know	<input type="checkbox"/>
No	<input type="checkbox"/>
<b>Comments</b>	

<b>Minimum per Pupil Funding</b>	
<b>Q23 Do you accept the proposal to implement the minimum funding levels per pupil of £4,600 for secondary pupils (excluding Rates and PFI funding)?</b>	
Yes	<input type="checkbox"/>
Don't Know	<input type="checkbox"/>
No	<input type="checkbox"/>
<b>Comments</b>	

<b>Growth Fund</b>	
<b>Q24 Do you agree the proposal top slice the mainstream schools block by £35,000 to maintain the growth fund at £100,000?</b>	
Yes	<input type="checkbox"/>
Don't Know	<input type="checkbox"/>
No	<input type="checkbox"/>
<b>Comments</b>	

<b>Notional SEN Calculation</b>	
<b>Q25 Do you accept the proposal not to amend the notional SEN calculation?</b>	
Yes	<input type="checkbox"/>
Don't Know	<input type="checkbox"/>
No	<input type="checkbox"/>
<b>Comments</b>	

<b>Any Other Comments/Feedback/Suggestions</b>

**Please return to**  
 Carole Smith  
 Business Partner (Schools)  
 Corporate finance  
 Gateshead Council  
 Civic Centre  
 Regent Street, Gateshead  
 NE8 1HH  
 Or by email: [carolesmith@gateshead.gov.uk](mailto:carolesmith@gateshead.gov.uk)

**By Friday 15 December 2017**

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**TITLE OF REPORT: Disapplication of schools and Early Years Finance Regulations**

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## **Purpose of the Report**

The purpose of this report is to update Schools Forum on the application to the Secretary of State for Education to disapply the Schools and Early Years Finance Regulations to enable a positive minimum funding guarantee (MFG) to be set of up to a value of 0.5% for mainstream schools.

## **Background**

This report builds on a report from the last meeting in November of Schools Forum.

On 14 September the Education Secretary announced the following:-

“Alongside the formula published for schools today, the government also confirmed reforms to funding for children and young people with high needs. Every local authority will see a minimum increase of 0.5% per head in 2018-19, and 1% per head in 2019-20. And underfunded local authorities will receive up to 3% per head gains a year for the next two years. Overall, local authorities will gain 4.6% on average on their high needs budgets.”

However under the current mainstream school funding operational guidance for 2018/19 the MFG for mainstream schools has a value range of -1.5% to 0%, and without disapplying the regulations it would be impossible to replicate the funding allocations published by the Department for Education (DfE) alongside the announcement, or provide additional funding for schools receiving MFG.

The DfE have issued additional guidance following feedback from LAs stating that if LAs want to set a positive MFG (of up to 0.5%) they must have had a disapplication request approved by the Secretary of State to disapply the regulations relating to MFG. The DfE issued a new Authority Proforma Tool (APT) to enable LA's to undertake modelling with a positive MFG of up to 0.5%.

Gateshead did apply to the Secretary of State to disapply the regulations to allow mainstream schools MFG to be set with an MFG range of between -1.5% and 0.5% before the deadline of 30 November 2017.

However following the submission the attached e-mail (appendix 1) was received 23 November 2017, which states that funding regulations will be amended to allow local formulae to have a positive MFG of up to 0.5%.

## **Proposal**

It is proposed that Schools Forum notes the report and that the disapplication request has been submitted within timescales, but is no longer required.

## **Recommendations**

It is recommended that School Forum notes the contents of the report and the contents of the e-mail in appendix 1.

### **For the following reasons:**

- To enable Gateshead mainstream schools funding formula to have greater flexibility to have a positive MFG of up to 0.5%
- 

**CONTACT: Carole Smith ext. 2747**

Dear local authority finance officers

### Local implementation of the national funding formula (NFF)

We're aware that a large number of local authorities have requested to move as closely as possible to the NFF for 2018 to 2019. As a result, it's been agreed that the funding regulations will be amended to enable local formulae to include a positive minimum funding guarantee (MFG) up to + 0.5% per pupil. It's also been agreed to allow the premises factors to be removed from the calculation of the minimum funding levels using the same methodology as that used for the NFF calculation.

It will also now be possible for the amount capped and scaled to exceed the amount of MFG protection.

This will mean that disapplications will not be required if local authorities want to make these changes to their local formula. An amended operational guide will be published shortly. For all other changes, the current disapplication arrangements will apply.

### Further information

If you have any questions about the contents of this email, please [contact us](#).

Yours sincerely

Helen Bennett

**Deputy Director  
Academies & Maintained Schools Group  
Funding Division  
Education and Skills Funding Agency**



Education & Skills  
Funding Agency

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**REPORT TO SCHOOLS FORUM**

7 December 2017

**TITLE OF REPORT: Discretionary School Meals - Universal Credit**

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**Purpose of the Report**

To bring to Schools Forum a proposal to use Dedicated Schools Grant (DSG) reserves to provide schools with funding to provide a discretionary school meal following the roll out of Universal Credit in Gateshead.

**Background**

This report builds on a report to Schools Forum in July 2017 on the impact of Universal Credit on the eligibility of children for free school meals.

Universal Credit is a national benefit which will replace Income Support, Income related Jobseekers Allowance, Income based Employment and Support Allowance, Housing Benefit, Working Tax Credit and Child Tax Credit. People in Gateshead may currently claim Universal Credit, but generally only if they are a single person.

From mid October 2017, the roll out of Universal Credit in Gateshead will be expanded to include anyone making a new claim to any of the benefits referred to above. This includes families with children and lone parents.

Anyone applying will need to sign a claimant commitment. For those not working, or only working part time, they may be expected to spend up to 35 hours a week doing "work requirements" i.e. looking for work/training. If a person does not fulfil these commitments they will be subject to a sanction.

Universal Credit is paid monthly in arrears and directly to the majority of claimants. In general, there is a delay of between 6 and 8 weeks before someone will receive a payment, although there is the ability to apply for an advance payment (a partial payment).

At present, anyone in receipt of Universal Credit is entitled to FSM, (subject to a current Government consultation which is the subject of a later report). This includes people who would formally have only applied for Working Tax Credit, or both Child and Working Tax Credit and who are not presently eligible for FSM. Since 1 April 2017 to 11 October 2017 we have been made aware of 76 new awards of these benefits though numbers could be higher. Therefore there is likely to be an increase in the number of pupils who may be eligible for FSM.

Department for Education (DfE) have advised that anyone who has applied for a benefit but not yet been awarded it, is NOT eligible for FSM. This applies to Universal Credit claimants even where they may be waiting for 6 to 8 weeks for their entitlement to be confirmed.

Due to the hardship the rollout of Universal Credit can cause some families officers on the direction of Schools Forum have been working on a proposal to fund schools to provide a Discretionary School Meal (DSM) for those children of households that have applied for Universal Credit, but not yet been awarded.

### **Proposal**

The proposal is that Schools Forum approves the use of DSG reserves to fund DSM at £2.55 per meal taken during the 8 week period or until the household is either awarded or denied Universal Credit. The draft process is attached It is in appendix 1 (Proforma templates are still being drafted). Any comments or amendments to the draft procedure are welcome.

### **Recommendation**

It is recommended that Schools Forum approves the use of DSG reserves to provide schools with funding of the price of a meal taken by a child for a maximum of 8 weeks assessment period following the claim for Universal Credit, and to provide feedback on the proposed process.

### **For the following reason(s):**

- To ensure that Schools are funded for a DSM
  - To provide a mechanism for funding schools for DSM
- 

**CONTACT: Helen Paine ext. 3654 Carole Smith ext.2747**

# Discretionary School Meals

## - Universal Credit -

**Content****Page Number**

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2. Discretionary School Meals – Universal Credit	1
3. Free School Meals	3
4. Recording and Funding	4
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6. Unable to award school meals	6





## 1. Overview

This procedural guidance is for **new Universal Credit claims only** as Free School Meals cannot be awarded until entitlement to Universal Credit has been confirmed at the end of the first assessment period – this can mean a delay of around 6 weeks. In these instances a Discretionary School Meal can be awarded by the school.

If a parent is already receiving Universal Credit they should make an application for Free School Meals from the Local Authority's Benefits department.

Applications for Free School Meals are available online at <http://www.gateshead.gov.uk/freeschoolmeals>. The application form should then be returned to the Benefits department.

Any reference to parent in this guidance also covers guardians or a person who has legal responsibility for the child. It does not include foster carers

## 2. Discretionary School Meals – Universal Credit

### 2.1 Who can claim a Discretionary School Meal?

The child's parents can make a claim for Discretionary School Meals.

Discretionary School Meals are for children who are not yet eligible for a Free School Meal, when a child's parent has claimed Universal Credit, but a decision on their award has not been made. A Discretionary School Meal will be granted for an initial period of 8 weeks or until the child's parents are eligible to claim Free School Meals (whichever time period is the shortest).

### 2.2 Is an application needed by the parent to enable the school to award Discretionary School Meals?

An application will not be required by the parent. You the school, will only need confirmation that the child's parent has made a claim for Universal Credit. However an **application for statutory Free School Meals must be submitted straight away** to allow the Benefits department to check when Universal Credit is awarded.

### 2.3 How will the school become aware that a Discretionary School Meal can be awarded?

There are two ways, you the school, could become aware that a child is entitled to Discretionary School Meals. The first is via the Local Authority's Benefit department, where the parent has applied for Benefit and the second is that, you the school, have become aware of it. This could be because you have been approached by the child's parent or you may notice that the child is not being provided with school lunch.

If the **Local Authority has become aware** that a parent has claimed Universal Credit, you the school, will be sent a proforma by email from the Benefit department. This proforma will detail the parent and child's name, date of birth, address and the date that they have made the claim for Universal Credit. You the school, will be able to identify these by the email subject heading 'Discretionary School Meal – Universal Credit Decision'.

If you the **school, become aware** before the Local Authority's Benefits department, you will need to complete a proforma and send it to the Benefits department, with the email subject heading 'Discretionary School Meal – Universal Credit - Decision. This will allow the Benefits department to keep checking on a daily basis to see if or when Universal Credit has been awarded. Once Universal Credit has been awarded, you the school, will be notified by email via the Local Authority. You must also tell the parent to complete a statutory Free School

Meals application form. Having this will allow the Benefit department to continually check to see when their parents eligibility to Universal Credit is confirmed.

### **2.3(b) Completing and Sending the proforma to the Local Authority?**

You the school should complete all the relevant fields on the proforma. This proforma then needs to be emailed to the Benefits department with the following details:-

Proforma is 'Discretionary School Meals – Universal Credit – FSM Eligibility'.

Email address is CSBenefitsFSM@Gateshead.Gov.UK

Subject Field should have the following text **only** 'Discretionary School Meal – Universal Credit FSM Eligibility'.

### **2.4 When can Discretionary School Meals be paid from?**

As long as the parent makes the claim for a Discretionary School Meal within one month from the date they have submitted their claim for Universal Credit, the child can be awarded a Discretionary School Meal from the date the parent has claimed Universal Credit – this can be a mid-week date.

The following should be noted:-

- if a parent has already **paid** for their child's school meals for any period since they applied for Universal Credit, the parent will not receive a refund. Discretionary School Meal's will start from the first day a meal has not been paid for.
- if a parent is in **arrears** with their child's school meals payment, then Discretionary School Meals can be awarded to reduce the arrears from the date the parent has claimed Universal Credit.

### **2.4(b) What happens when a person has made a claim for a Discretionary School Meal after 1 month?**

Discretionary School Meals can only be claimed for a maximum of one month in arrears irrespective of the date of the application for Universal Credit. See 4 for a further explanation.

### **2.5 How long should Discretionary School Meals be paid for?**

Discretionary School Meals will be awarded for a maximum of 8 weeks. There are exceptional circumstances which could allow a Discretionary School Meals to be awarded for a longer period, such as if a person's Universal Credit has not been determined because they are waiting for a second property to be valued. However if you the school, would like to award a Discretionary School Meal beyond 8 weeks, this is the schools decision and the amount will not be subsidised by Dedicated Schools Grant (DSG) Reserves.

There are instances where a Discretionary School Meal will be awarded for less than 8 weeks. This is where a Discretionary School Meal has not started from the date the parent has applied for Universal Credit or they have already paid for the child's school meals up until the point where the parent has applied for Discretionary School Meals. In these cases Discretionary School Meals should only be awarded for the remaining weeks within the 8 week period.

### **2.5(b) How will the child's parents know that Discretionary School Meals have been granted?**

You the school, will provide the child's parent with a letter to confirm that their child(ren) will receive Discretionary School Meals. The letter will detail the dates the child will receive Discretionary School Meals for and it will also outline what they need to do when they are awarded Universal Credit – such as making a Free School Meals application and notifying the Local Authority of their award.

The letter is called 'Discretionary School Meals – Universal Credit – Awarded'.

## **3. Free School Meals**

### **3.1 When should a parent complete a Free School Meals application?**

An application form for Free School Meals should be completed straight-away and sent to the Local Authority's Benefit department.

### **3.2 When will the child's parents know that they need to make a claim for Free School Meals?**

You should advise the parent to still apply for Free School Meal's straight away. Once the Benefits department has received the application they can continually check to see when eligibility to Universal Credit is confirmed to award Free School Meals under the statutory scheme. If they have not been able to do so, 2 weeks prior to the child's Discretionary School Meals ending, you the school, will send a letter to the child's parents warning them that their child's Discretionary School Meals will end in 2 weeks. The letter will also inform them that if they have not done so already, they need to make an application for Free School Meals to the Benefits department if they want their child to receive a school meal, but that this can only be awarded from the date their eligibility to Universal Credit is confirmed.

The letter is called 'Discretionary School Meals – Universal Credit – 8 week letter'.

### **3.3 When can Free School Meals be awarded?**

A child's entitlement to Statutory Free School Meals will start from the day their eligibility is confirmed by the Department for Education's Free School Meals Eligibility Checking service, or from the date they are notified they are eligible for Universal Credit.

The school will be notified by email (the subject field will have the following text, 'Discretionary School Meal – Universal Credit – FSM Eligibility) or on the weekly schools list that an award for Free School Meals has been made. This will detail the date the award has been made from and Discretionary School Meals should end the day before. If you the school continue to pay Discretionary School Meals beyond the date Free School Meals have been awarded from, then funding will not be awarded from DSG Reserves for this period.

### **3.4 How will I know on what date a claim for Universal Credit has been made?**

The Benefits department do not get notified of every claim to Universal Credit, therefore they will not be able to help you establish when an award has been made. As part of the Universal Credit claim, the child's parents will have access to an online journal, which will detail the date a claim for Universal Credit has been submitted.

The parent will have to show you this entry or provide a copy of it. You should retain a copy of the entry, or a note that you have seen it for your records.

### **3.4(b) What if the parent does not want to share this information with the school?**

Some parents may not want the school to see their Universal Credit information. If this happens, you the school have the following options available:-

- ring the Benefit FSM department to see if they have any information;
- ask the parent to print off their online journal entries, which will enable them to cross out any potentially sensitive information before providing the evidence;
- ask the parent to see if their work coach can provide them with something which will confirm they have applied for Universal Credit and when;
- finally if the parent is prepared to show their online account to the benefits team, the parent can come into the benefits office

### **3.5 How will I know if Universal Credit has been awarded or not?**

The Benefits department will be checking on a daily basis to see if a parent has been awarded Universal Credit. Once eligibility to Free School Meals is confirmed the school will be notified either by email or on the weekly list sent to the school.

## **4. Recording and Funding**

### **4.1 How are the children(s) details recorded?**

You the school will need to keep your own record of every eligible child who is receiving Discretionary School Meals on the Discretionary School Meal – Universal Credit spreadsheet.

The spreadsheet needs to detail every Discretionary School Meal that the child has received. Therefore if the child was off sick or has been provided a packed lunch, then monies cannot be claimed back and should be detailed on the spreadsheet. In these instances there should be an end date when a child does not have a school meal and a separate period should start when the child starts school meals again.

### **4.2 How is the funding claimed back?**

You the school will be able to claim back £2.55 per meal, each day for every child to whom you awards a Discretionary School Meal

The Discretionary School Meal spreadsheet needs to be sent to [carolesmith@gateshead.gov.uk](mailto:carolesmith@gateshead.gov.uk) at the end of every school term. The details should only include any child who has finished receiving Discretionary School Meals within that term.

### **4.3 Census Return**

Any children who are awarded a Discretionary School Meal **should not** be indicated as being eligible for a FSM on the school census return, until their Free School Meals status has been confirmed.

## 5. Documents

The following documents are require in awarding Discretionary School Meal.

### 5.1 Send by the School

#### **Appendix 1** – Discretionary School Meals – Universal Credit – Decision

A word document which should be emailed [CSBenefitsFSM@Gateshead.Gov.UK](mailto:CSBenefitsFSM@Gateshead.Gov.UK). It is used to inform the Local Authority's Benefit department that a Discretionary School Meal has been awarded

#### **Appendix 2** – Discretionary School Meals – Universal Credit - Awarded

A letter used to inform the child's parents that and award of Discretionary School Meals has been successful

#### **Appendix 3** - Discretionary School Meals – Universal Credit – 8 week letter

A letter used to inform the child's parents that their child's entitlement to Discretionary School Meals is due to end and also used as a remind to submit a Free School Meals application form if they have not already done so

### 5.2 Actioned only by the School

#### **Appendix 4** – Discretionary School Meals – Universal Credit spreadsheet

This is to record all the child which have been granted Discretionary School Meals. This needs to be emailed to [carolesmith@gateshead.gov.uk](mailto:carolesmith@gateshead.gov.uk) at the end of every school term

### 5.3 Received from the Benefits Department

#### **Appendix 5** - Discretionary School Meals – Universal Credit – Decision

An email which is used to inform the School that the Benefits department have received a claim for Free School Meals and that Discretionary School Meals can be awarded

#### **Appendix 6** – Discretionary School Meals – Universal Credit – FSM Eligibility

An email which is used to inform the school that an award of Universal Credit has been made. It will also detail that an application for Free School Meals has been received and the date FSM will be awarded from

#### **Appendix 7** – Discretionary School Meals – Universal Credit – School Meals

An email which is used to inform the school that a crisis payment has been made to cover the cost of school meal arrears

## **6. Unable to award School Meals**

### **6.1 What if a Discretionary School Meal or Free School Meal cannot be awarded?**

In these instances the parent could consider requesting an Advance payment of Universal Credit, if they have not already done so. To do this they can make an entry in their online journal or ask their work coach. As a last resort, they can apply for a Crisis Payment from the Local Authority, by completing the Local Crisis Payment online application.

A Crisis Payment may be made depending on a person's circumstances and comes from a cash limited fund. Therefore you should not guarantee that they will definitely be awarded the money. When the Local Crisis Payment form is received by the Crisis Team, they will determine whether money can be awarded to cover the cost of school meals and they will also consider any other help they can provide. If it is agreed that monies will be paid to cover the cost of the school meal, this money will not go to the parent, instead it will be transferred to the school.

The Local Crisis Payment online application is available at <http://www.gateshead.gov.uk/LocalCrisisPayments> - paper forms are available from the Crisis Support Team at the Local Authority.

The Crisis Payment phone number is 0191 433 3676.

#### ***6.1(b) How will I know that a Crisis Payment has been awarded to cover the cost of School Meals?***

The Crisis Support team will email you the school. The email will confirm the dates an award for school meals has been granted for.

#### ***6.1(c) How will a Crisis Payment for School Meals be recorded?***

The child's details will be recorded in the same way as you do for a Discretionary School Meal, see 5.1 for details.

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**TITLE OF REPORT: Eligibility for Free School Meals and Early Years Pupil Premium under Universal Credit Consultation**

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## **Purpose of the Report**

The purpose of this report is to bring to Schools Forum attention the Department for Education (DfE) Consultation on Eligibility for Free School Meals (FSM) and Early Years Pupil Premium (EYPP) under Universal Credit. The consultation was launched 16 November 2017 and closes 11 January 2018.

## **Background**

Universal Credit is a national benefit which will replace Income Support, Income related Jobseekers Allowance, Income based Employment and Support Allowance, Housing Benefit, Working Tax Credit and Child Tax Credit. People in Gateshead may currently claim Universal Credit, but generally only if they are a single person.

At present, anyone in receipt of Universal Credit is entitled to FSM. This includes people who would formally have only applied for Working Tax Credit, or both Child and Working Tax Credit and who are not presently eligible for FSM. Since 1st April 2017, we have been made aware of 76 new awards of these benefits though numbers could be higher. Therefore there is likely to be an increase in the number of pupils who may be eligible for FSM.

The DfE are proposing an earnings threshold to be introduced from April 2018 for the establishment of eligibility for FSM and EYPP.

The consultation sets out the DfE's rationale and proposals. Below is a link to the consultation documents.

<https://consult.education.gov.uk/healthy-pupil-unit/fsm/>

Due to timescales a response from Schools Forum could be difficult, however as there are only 4 questions schools may want to submit an individual response.

## **Proposal**

It is proposed that Schools Forum notes the contents of the report and the closing date of the consultation of 11 January 2018.

## **Recommendations**

It is recommended that School Forum notes the contents of the report so that individual school have an opportunity to submit a response to the DfE consultation.

**For the following reasons:**

- To inform Schools Forum of the Eligibility for Free School Meals and Early Years Pupil Premium under Universal Credit Consultation.

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**CONTACT: Carole Smith**

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**TITLE OF REPORT: De – Delegation Trade Union Facility Time and Maternity Credits**

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## **Purpose of the Report**

The purpose of the report is to bring to Schools Forum options for them to consider for de-delegation so that maintained schools by phases (primary and secondary schools) can consult with their sector on the options for de-delegation.

## **Background**

De-delegation is the process by which Schools Forum can agree for the LA to centrally hold funding for specific purposes.

Revenue funding arrangements for schools changed following the school funding review which commenced in 2013/14. It is now not permissible for LA's to hold budgets centrally for the provision of some services to schools. This previously centrally held funding has been delegated to schools on a per pupil basis.

However there are some areas that schools have the option for de-delegation.

These are:-

- a) Contingencies, (including support for schools in financial difficulties, new/closing/amalgamating schools, closing school deficits and growing schools)
- b) Behaviour Support Services
- c) Support for minority ethnic minority pupils or underachieving groups
- d) Free School Meals (FSM) eligibility
- e) Insurance
- f) Library and museum services
- g) Licences/subscriptions
- h) Staff costs – supply cover (long term sickness, maternity, trade union and public duties)

For each of these areas, it is for the Schools Forum members in the relevant phase (primary or secondary) to decide whether that service should be retained centrally.

The decision will apply to all maintained schools in that phase and will mean that the funding for these services will be removed from the formula before school budgets are issued. There can be different decisions for each phase.

Academies, special schools, the behaviour support service and nursery schools can buy back into these services if they wish to.

This report brings proposals for Staff Costs –Maternity Credits and Trade Union Facility Time (TUFT).

## Maternity Credits

Under the current Maternity Credits scheme, schools can claim from the 7<sup>th</sup> to the 18<sup>th</sup> week for maternity or adoption leave at a specified daily rate depending on the staff role.

## Trade Union Facility Time

The TUFT credits facilitate the recognised trade union reps undertaking the following duties on behalf of all schools and individual members as required:-

- Attendance at LA meetings and briefings re policy decisions
- Attending school based meetings to resolve staffing issues, facilitate return to work interviews, capability meetings and disciplinary meetings

Having a central Trade Union resource will benefit all schools as it will negate the need for additional training for staff in every school, ensure a consistent approach and bring economies of scale savings.

## Proposal

It is proposed for the January submission of the APT the level of de-delegation is maintained for TUFT and maternity credits at the below rates:-

- Maternity Credits £8.00 per pupil
- Trade Union Facility Time £4.00 per pupil

## Recommendations

That School Forum consults with their respective phases on the possible areas of de-delegation and brings their views to enable Schools Forum to make a decision on de-delegation.

## For the following reason(s):

To enable Schools Forum to make a decision on mainstream school de-delegation for TUFT and Maternity Credits and enable mainstream school budgets to be calculated.

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**CONTACT: Carole Smith**



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**TITLE OF REPORT: Dedicated Schools Grant Revenue Monitoring Qtr 2 2017/18**

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### **Purpose of the Report**

To bring to Schools Forum attention information on the quarter 2 position of Dedicated Schools Grant (DSG) for 2017/18.

### **Background**

The DSG is made up of three main funding blocks:

1. The **Early Years** block - for 2 and 3 & 4 year old funding
2. **Mainstream Schools** block - which includes some centrally held and de-delegated funding
3. **High Needs** Block - which includes special schools and PRU funding

Schools Forum receives details of DSG revenue monitoring throughout the financial year, with the format presented based on the expenditure headings of section 251.

The quarter 2 report for 2017/18 is included at appendix 1. This shows a projected outturn of £102.749m against the budget of £101.147m, resulting in an over spend of £1.602m.

The over spend of £1.602m is currently being action planned to reduce it as much as possible to year end, however it is expected that DSG reserves will be required to be meet the final overspend. This requires approval from schools forum.

DSG reserves currently total £3.167m, therefore if the overspend for 2017/18 remains at the current level and reserves are used, the reserve balance would be reduced to £1.565m to carry forward into 2018/19. A review has commenced to look at all areas of high needs spending to identify areas of saving to bring expenditure back within budget, and the result will be brought to Schools Forum in 2018.

### **Proposal**

That Schools Forum notes the content of the report and approves the projected overspend to be met from DSG reserves in 2017/18.

### **Recommendations**

That Schools Forum:-

- Note the contents of the report
- Approves the use of DSG reserves to fund the projected overspend in 2017/18

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**CONTACT: Alan Foster ext 2677**

## Appendix 1

<b>2017/18 DSG Revenue Monitoring Qtr 2</b>				
<b>DSG Area</b>	<b>Total Approved Budget</b>	<b>Projected Outturn</b>	<b>Variance</b>	<b>Comments/Notes</b>
	<b>£'000</b>	<b>£'000</b>	<b>£'000</b>	
Maintained Schools Budget Share	76,436	76,436	0	
<b>DEDELEGATION</b>				
Contingencies	0	0	0	
Behaviour support services	171	171	0	
Support to UPEG and bilingual learners	191	191	0	
Free school meals eligibility	0	0	0	
Insurance	0	0	0	
Museum and Library services	0	0	0	
Licences/subscriptions	0	0	0	
Staff costs – supply cover	191	172	-19	
<b>HIGH NEEDS BUDGET (inc Special Schools, PRU and Additional Support Top-ups)</b>	<b>14,743</b>	<b>16,378</b>	<b>1,635</b>	Top-ups/ placements (AP/ PRU +£0.2m, Special Schools/ ARMS +£1m and Mainstream +£0.2m, Independent +£0.3m), Staff Slippage -£0.1m
<b>EARLY YEARS BUDGET</b>				
2,3 and 4 year old funding to PVI's	7,311	7,428	117	+£117k 3/4 year old
<b>CENTRAL PROVISION WITHIN SCHOOLS BUDGET</b>				
Contribution to combined budgets	750	750	0	
School admissions	137	137	0	
Servicing of schools forums	116	116	0	
Termination of employment costs	528	397	-131	PRC
Falling Rolls Fund	0	0	0	
Capital expenditure from revenue (CERA)	0	0	0	
Prudential borrowing costs	0	0	0	
Fees to independent schools without SEN	0	0	0	
Equal pay - back pay	0	0	0	
Pupil growth/ Infant class sizes	35	35	0	
SEN transport	0	0	0	
Exceptions agreed by Secretary of State	0	0	0	
Other Items	155	155	0	CLA/ MPA Licences top sliced from DSG for all school licences
Statutory/ Regulatory duties	383	383	0	
<b>TOTAL DSG</b>	<b>101,147</b>	<b>102,749</b>	<b>1,602</b>	To be appropriated to DSG reserve